

Scalar implicature:

a whirlwind tour with stops in  
processing, development and disorder

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Tubingen  
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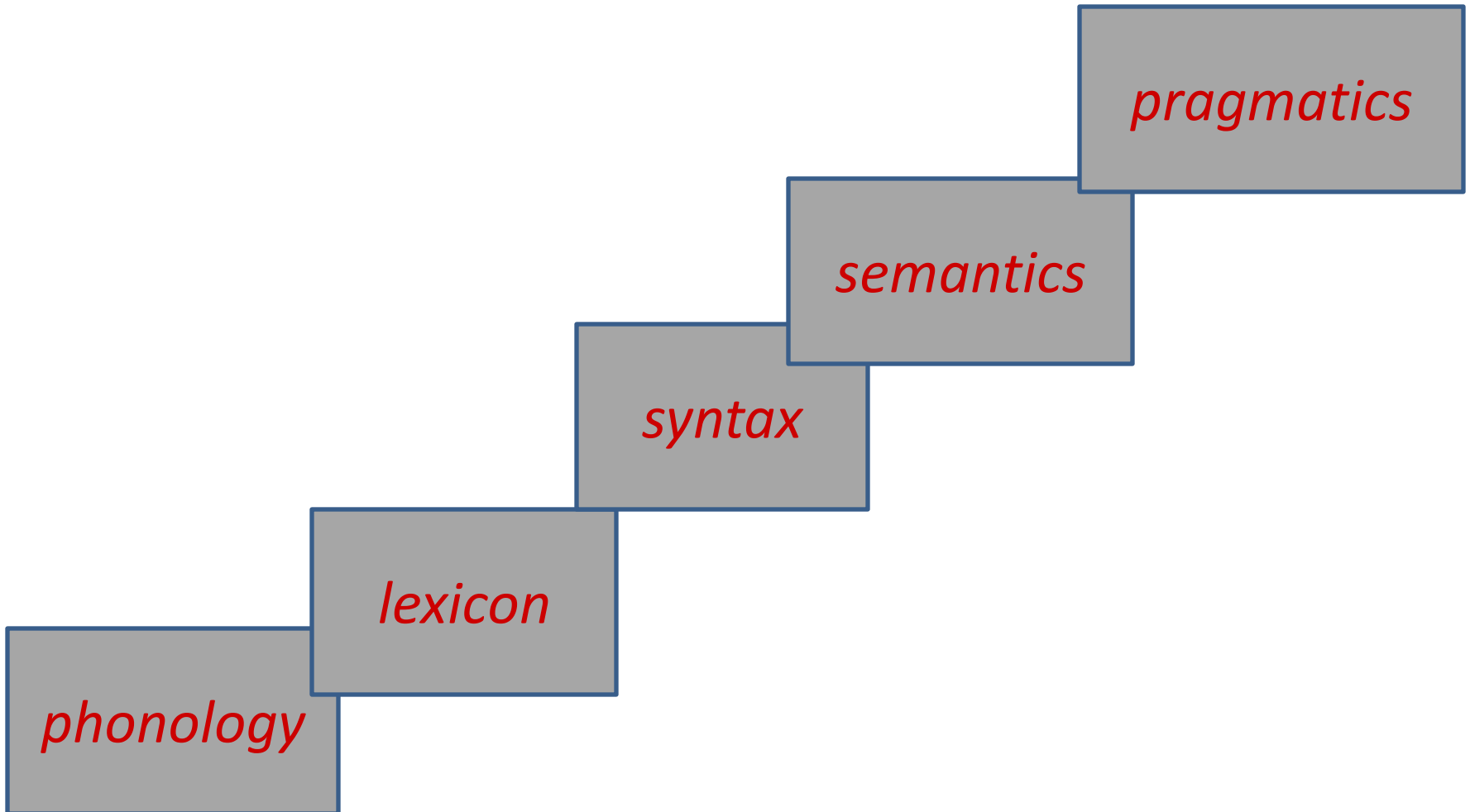
Yi Ting Huang

# Outline

- Grounding assumptions
- A modest proposal
- The data behind the proposal
  1. Implicature typically takes time and effort
  2. Instant SI's occur only when pre-encoding is plausible
  3. SI proficiency develops slowly
  4. In disordered populations SI patterns with language ability

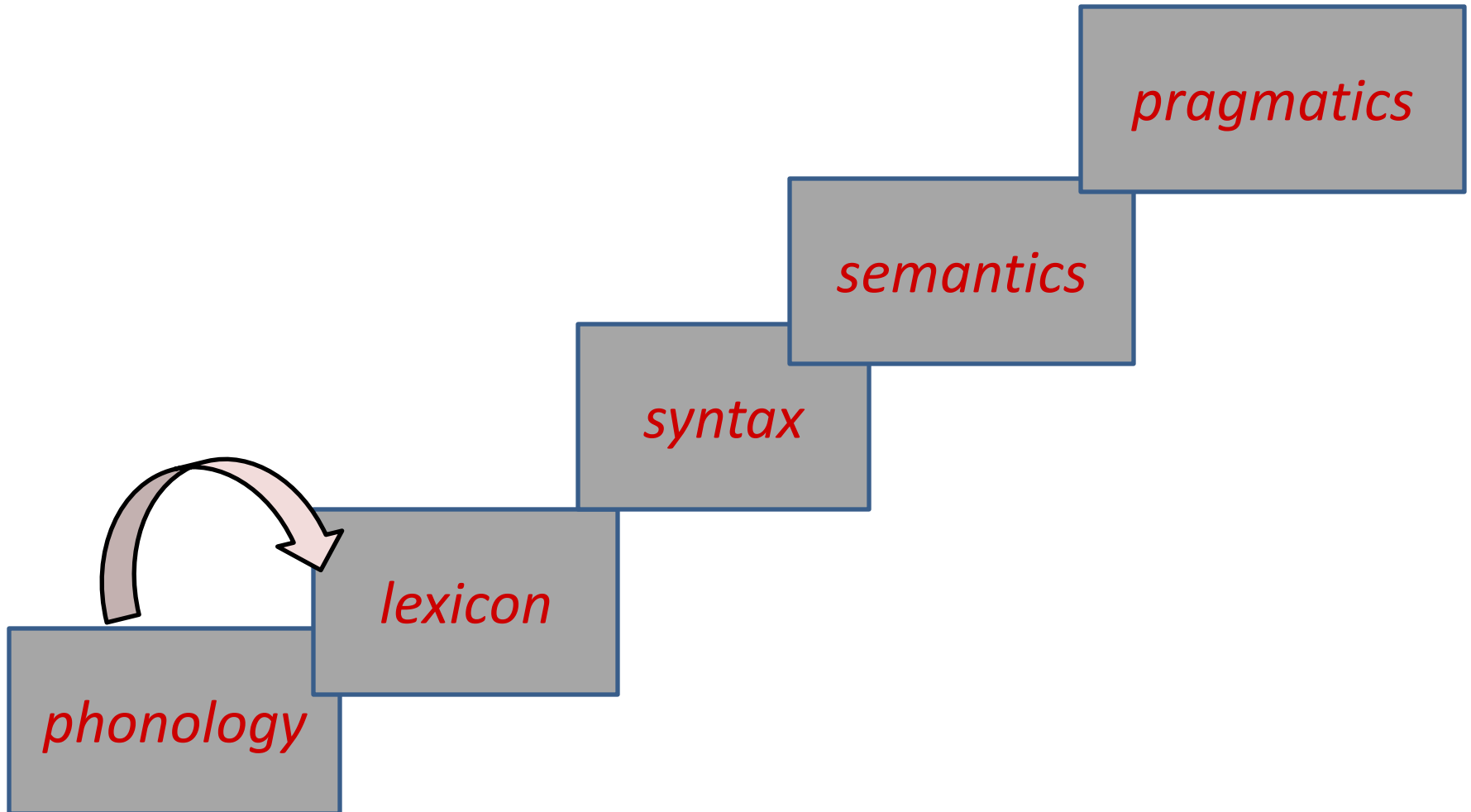
## 21<sup>st</sup> century standard model

1. Comprehension builds a partially ordered series of representations



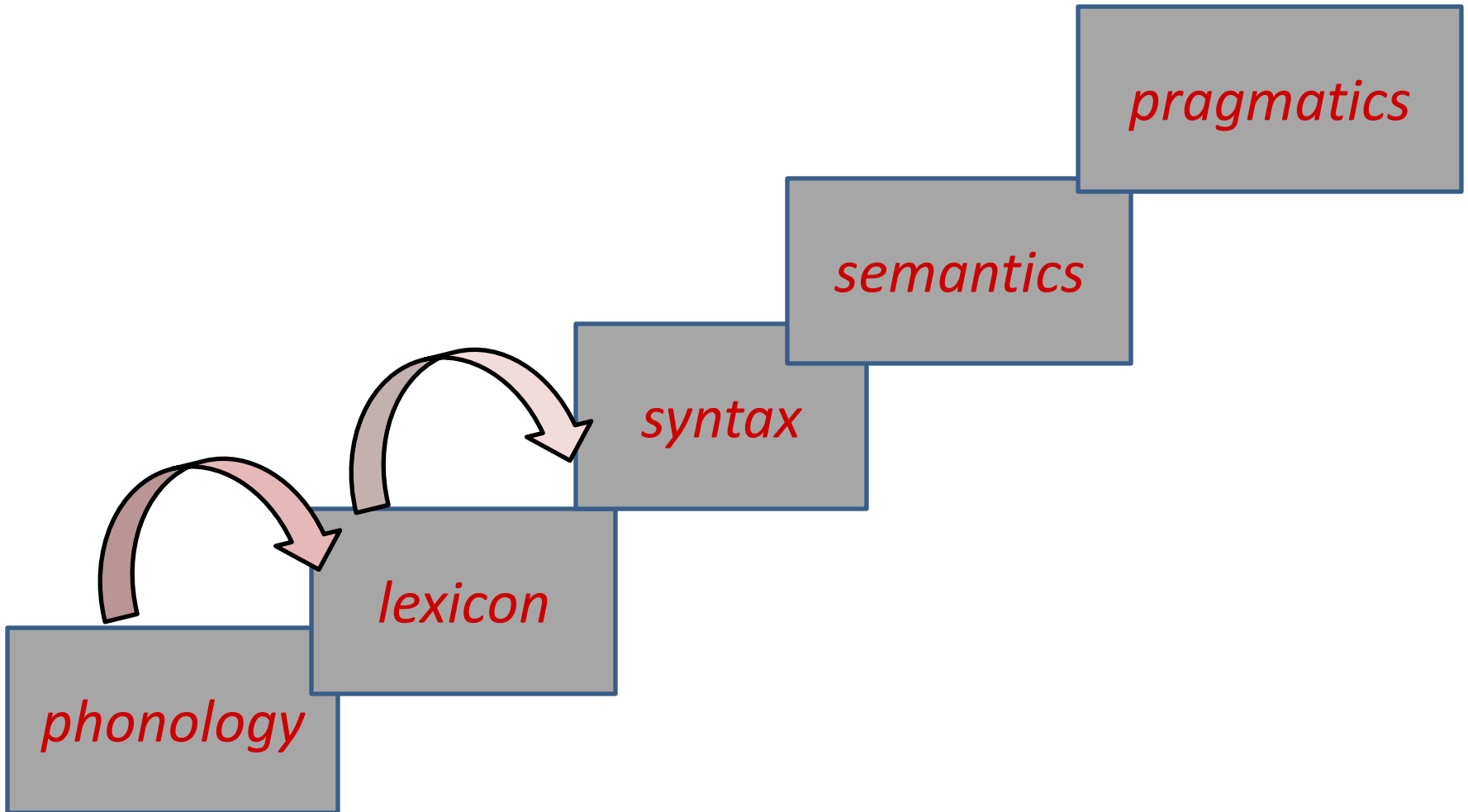
# 21<sup>st</sup> century standard model

## 2. Cascaded processing (incrementality)



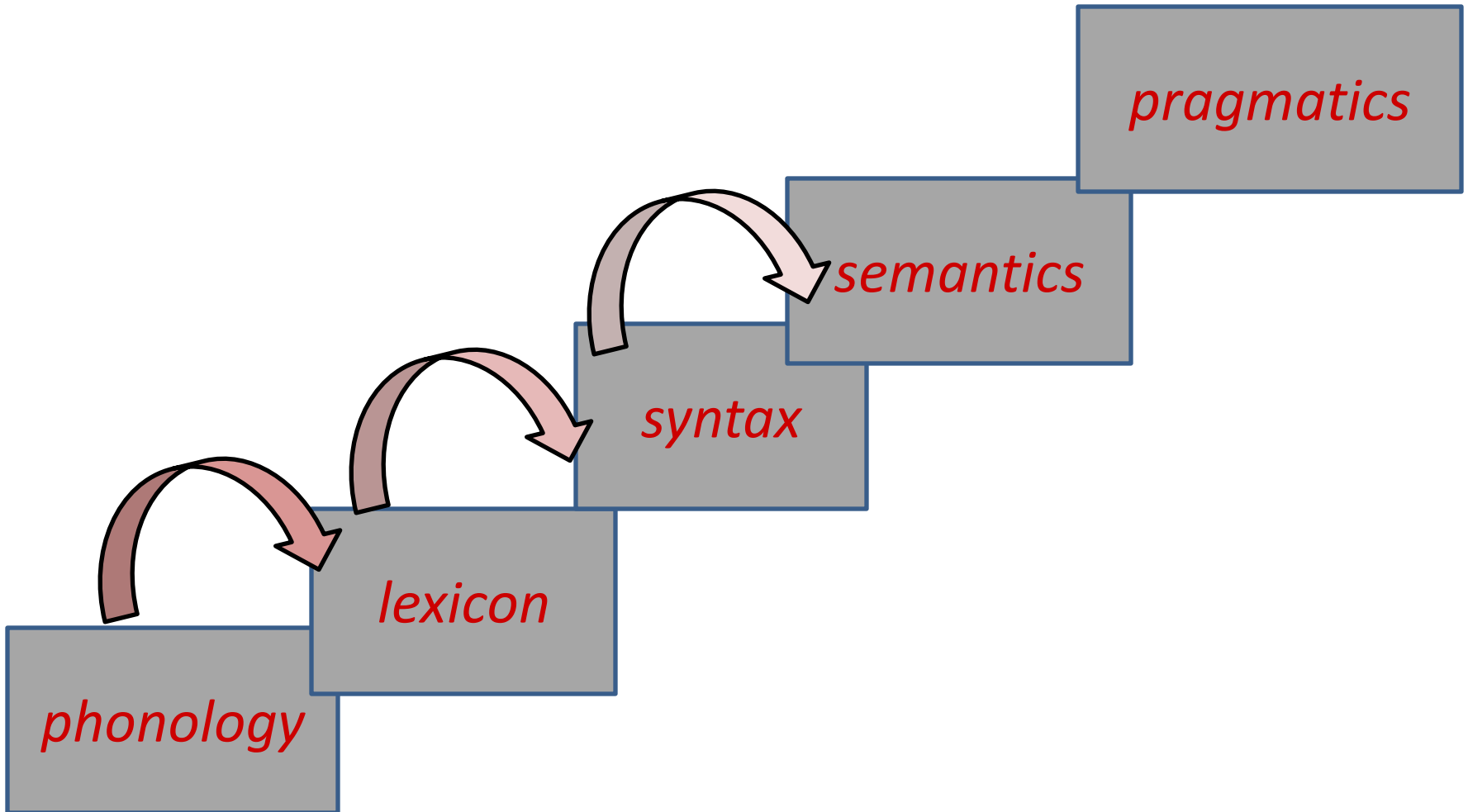
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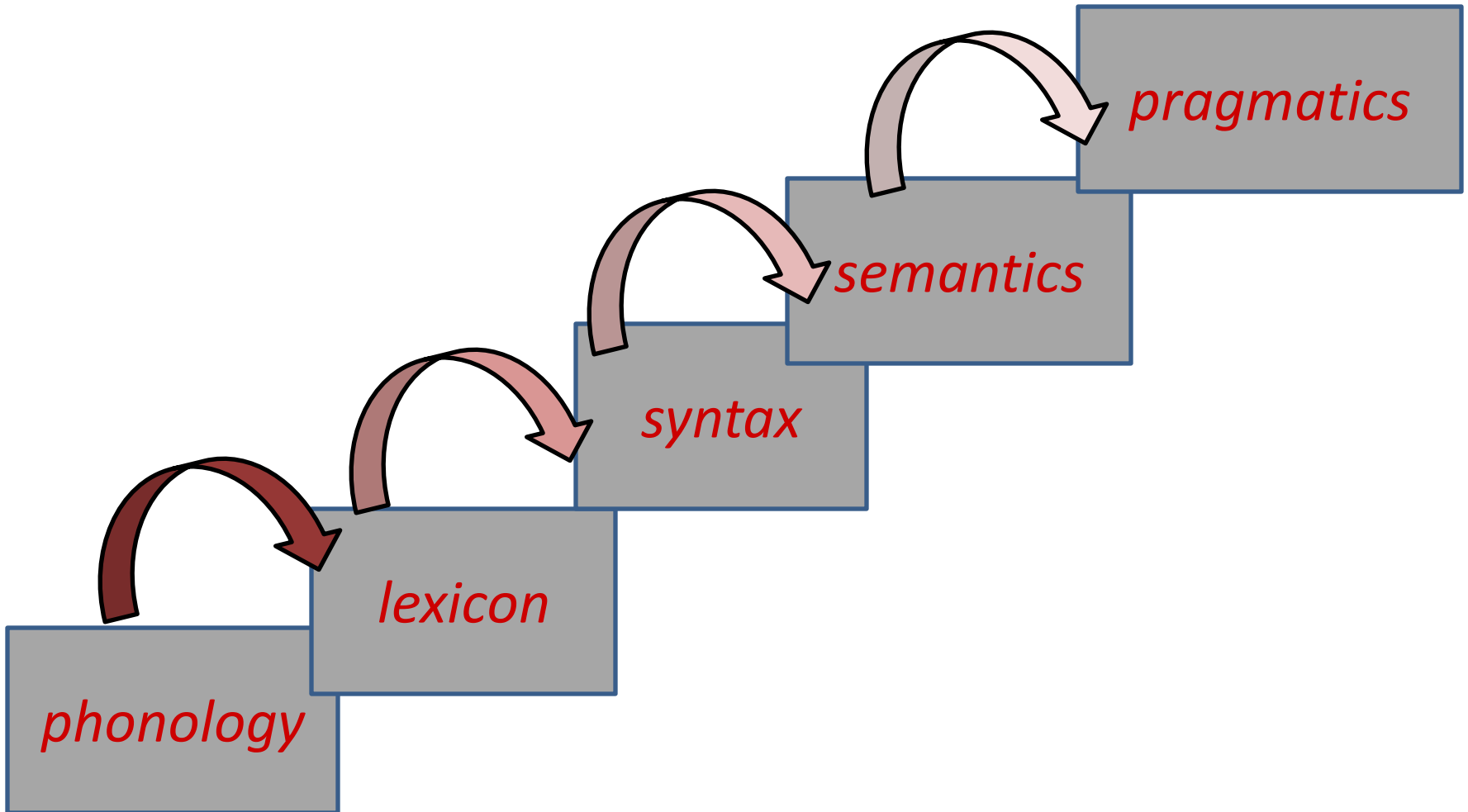
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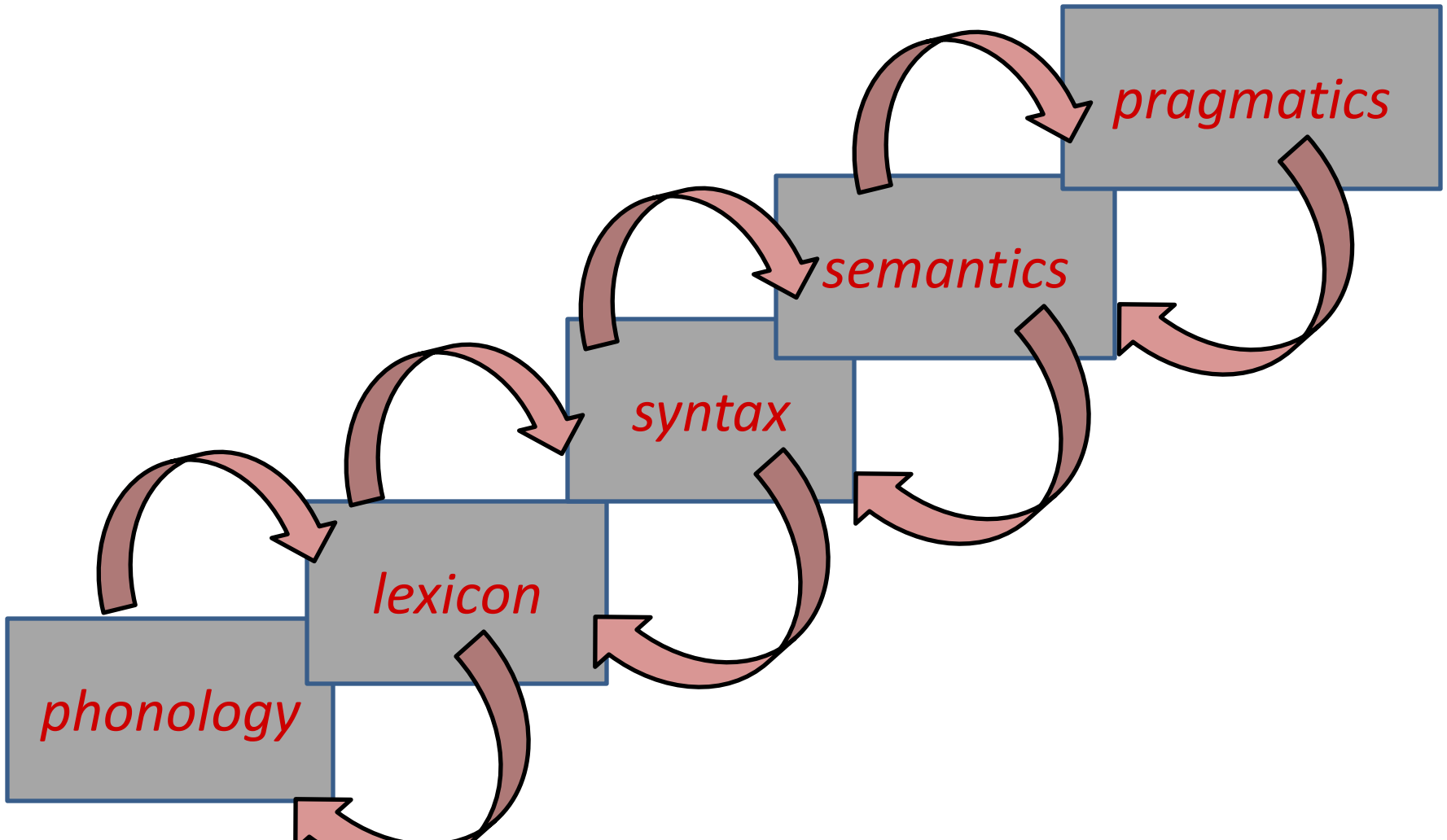
## 2. Cascaded processing (incrementality)





## 21<sup>st</sup> century standard model

### 3. Processing is interactive: both directions



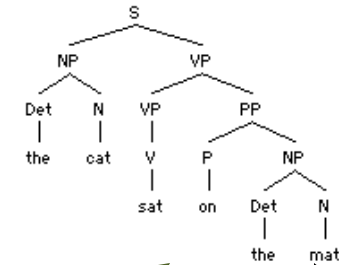
# 3. Interactivity: many inputs



*pragmatic interpretation*

*semantics*

$\exists x [ \text{cat}(x) \wedge \text{on mat}(x) ]$   
 $\wedge \forall y [ \text{cat}(y) \wedge \text{on mat}(y) ] x=y$



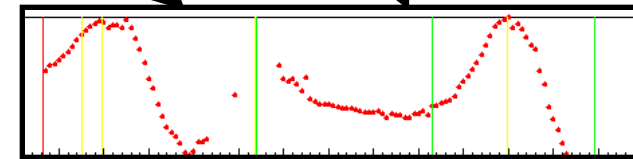
*syntax*

*lexicon*

/kæt/: noun, singular, animate  
 /sæt/: verb, past, intransitive  
 /mæt/: noun, singular, inanimate

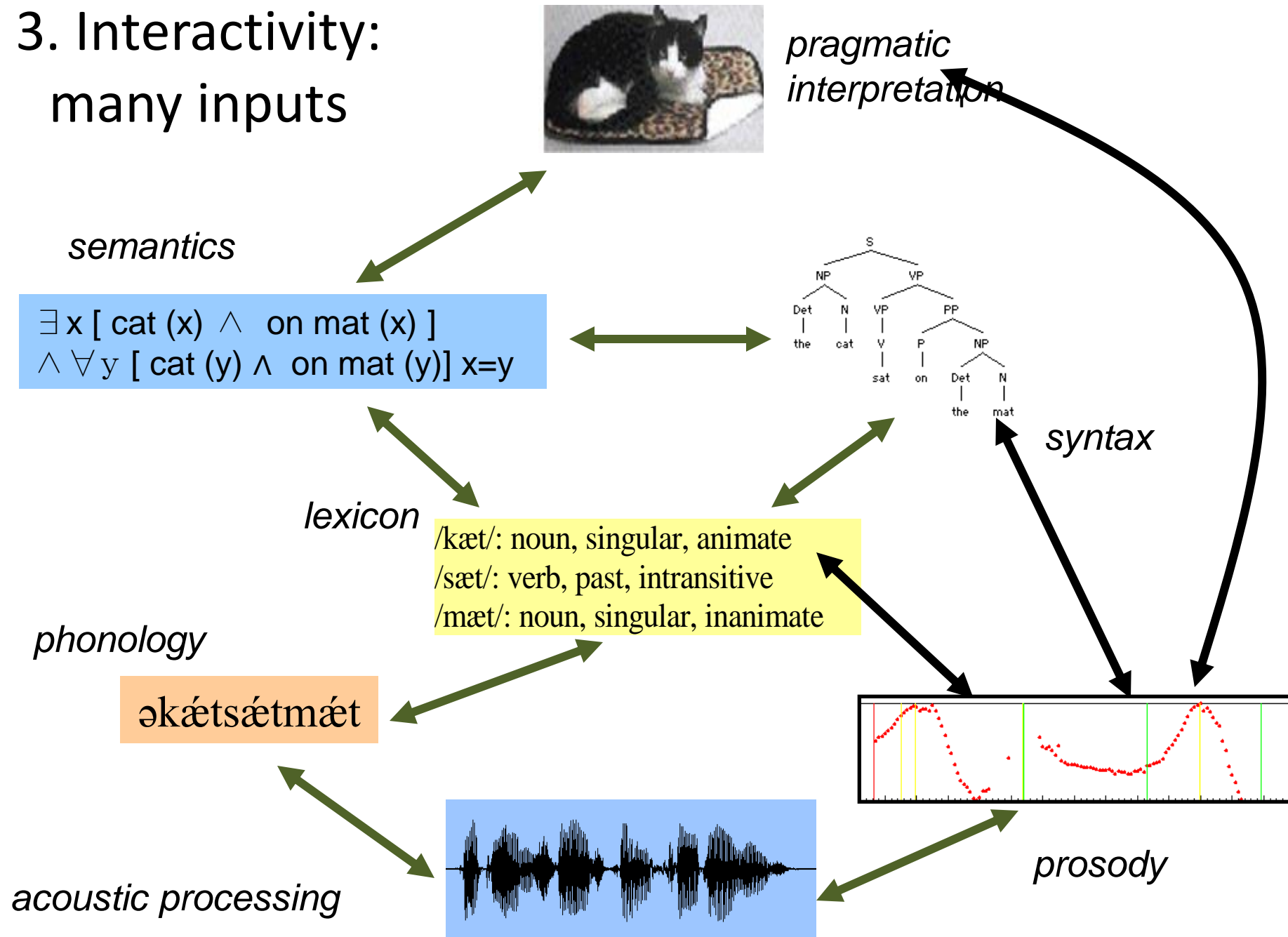
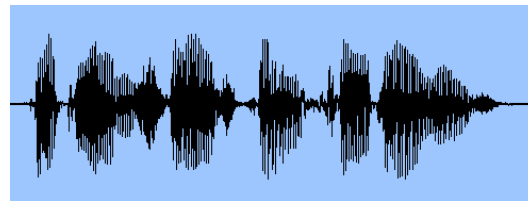
*phonology*

əkætsætmaet



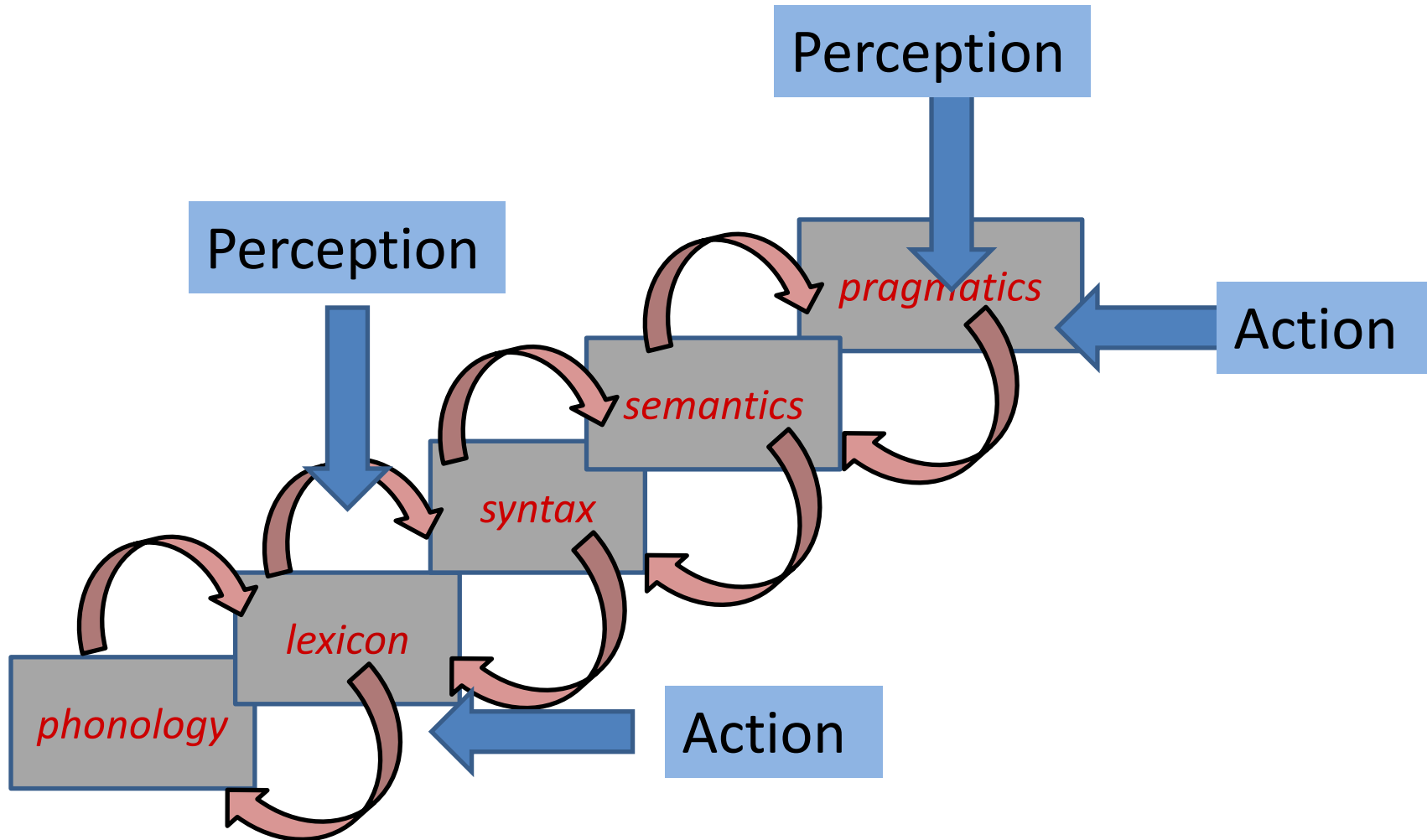
*prosody*

*acoustic processing*



# 21<sup>st</sup> century standard model

## 4. No walls around language



# 21<sup>st</sup> Century Standard Model

1. Levels of representation
2. Incremental
3. Interactive
  - Corollary: under many circumstances processing will be predictive
4. In contact with perception and action
  - Corollary: introduces the possibility of top-down prediction of speech

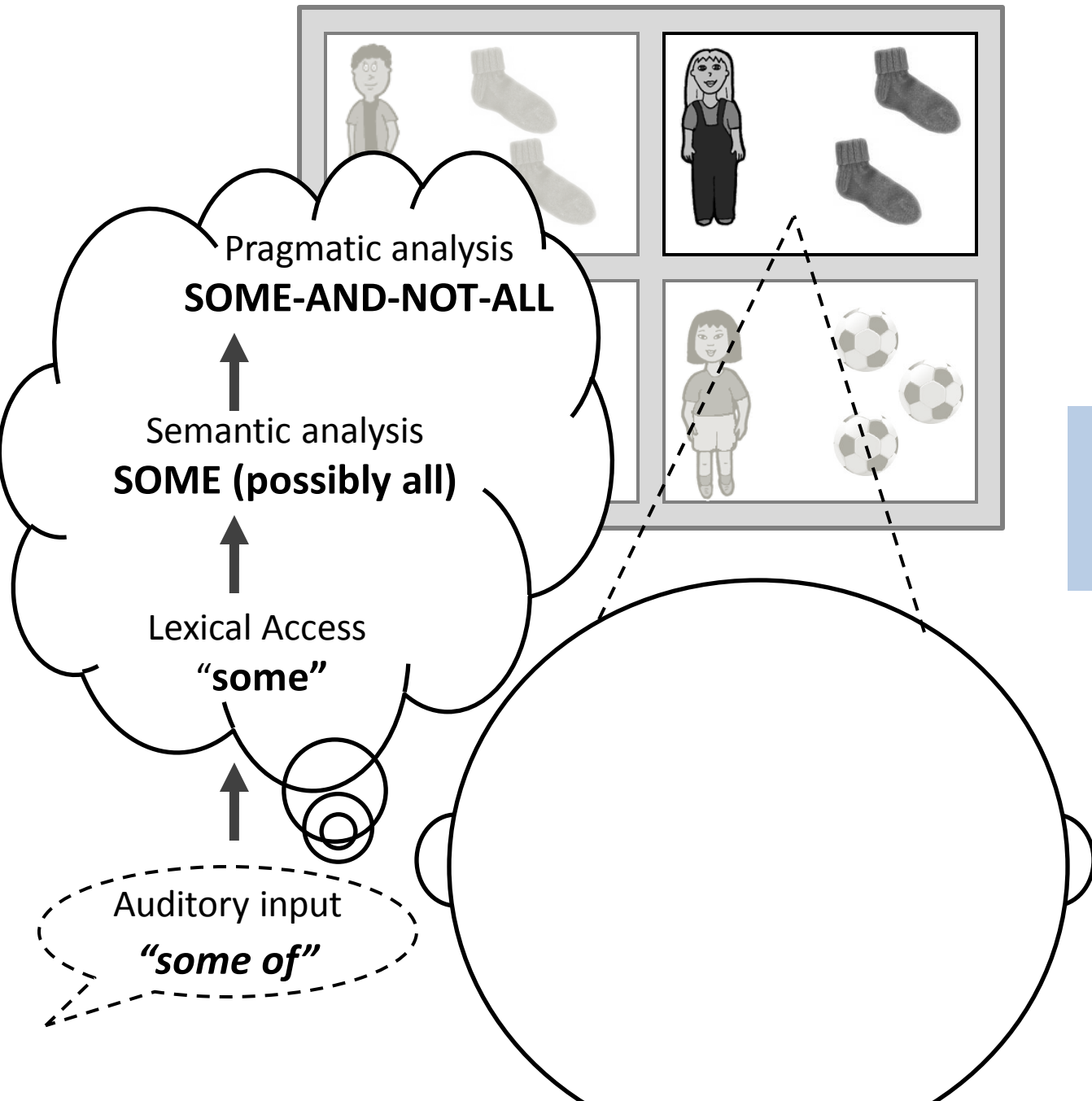
- Does this mean that all natural inferences are made instantly, with no delay?
- Of course not, cognitive operations unfold over time
  - Can be done ahead of time
  - Can be stored
  - But they are not atemporal

# How are scalar implicatures calculated?

## Bottom-up

- Hear “some”
- Retrieve its meaning
- Activate stronger alternative (*all*) ← Dependent on context!
- Construct enriched meaning ←
- Evaluate / link to context

Remember, this is incremental and interactive  
(we reject the “2-stage” label)



**Bottom-up  
analysis**

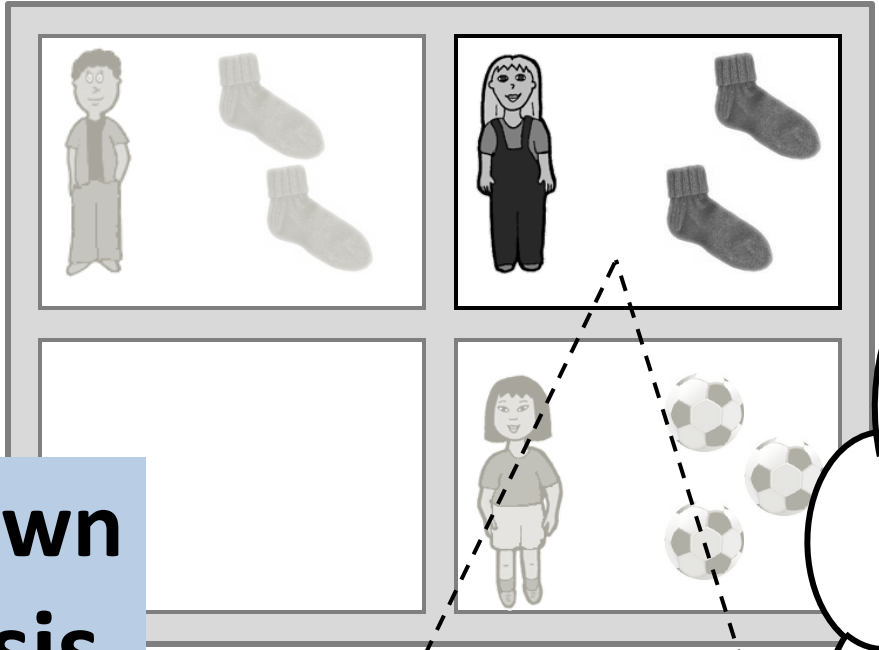
# How are scalar implicatures calculated?

## Top-down

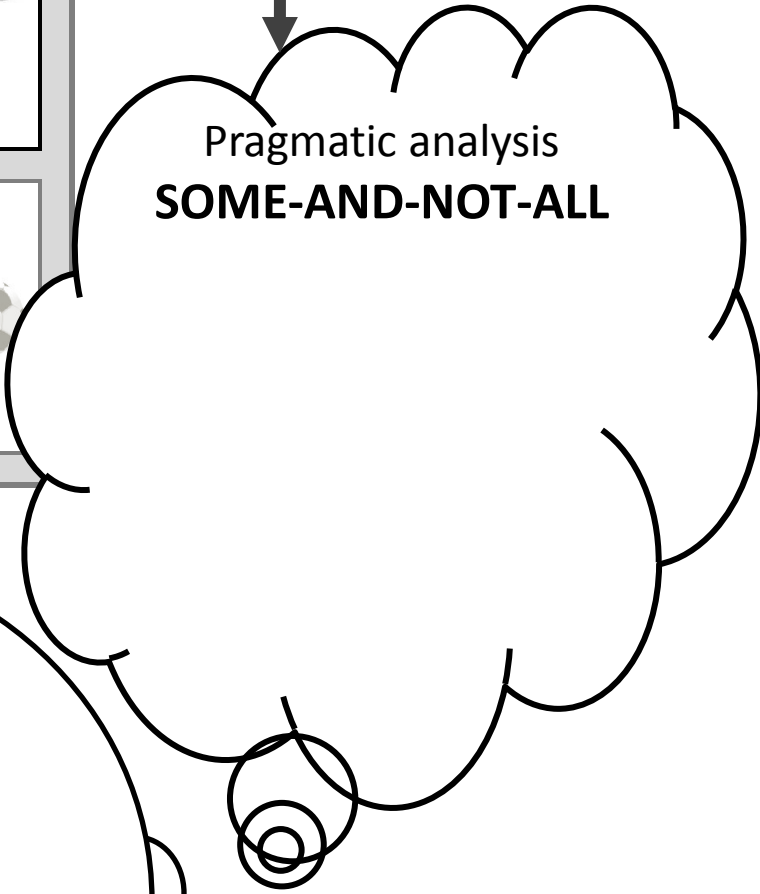
- Listener sees display (knows the situation)
- Encodes a “message level” representation of possible referents (GIRL + SUBSET OF X’S)
- Begins to link to lower levels of representation (semantic, maybe even lexical)



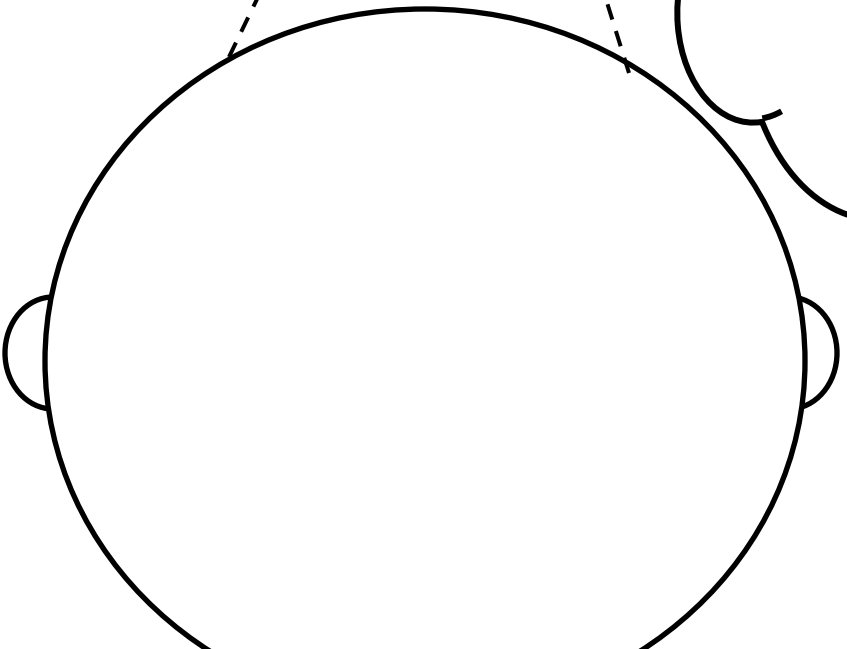
**Top-down  
analysis**



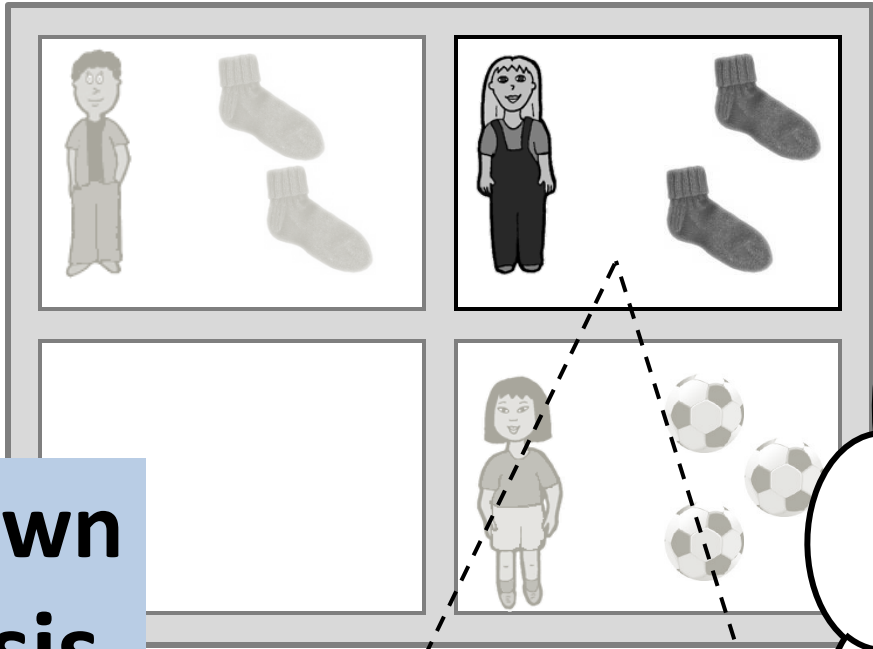
Visual input  
**SUBSET**



Pragmatic analysis  
**SOME-AND-NOT-ALL**



**Top-down  
analysis**

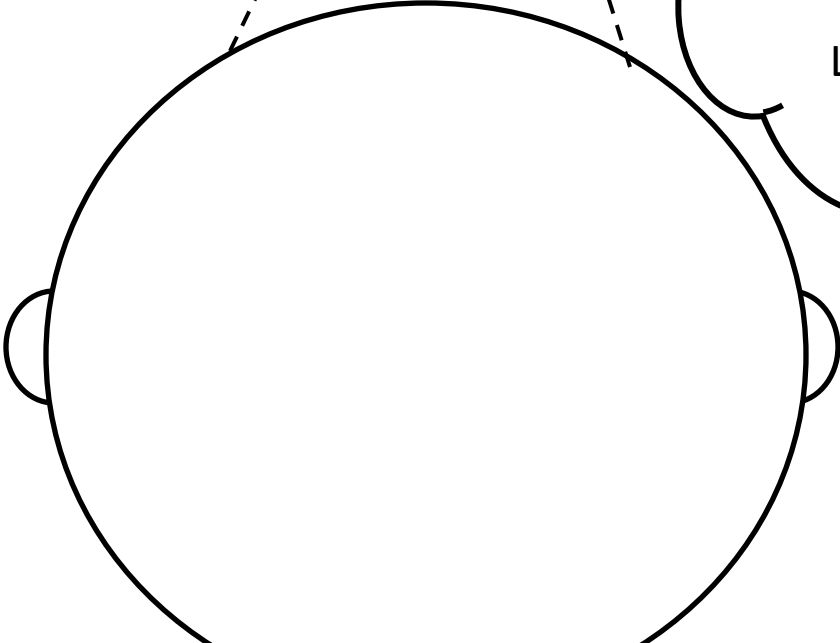


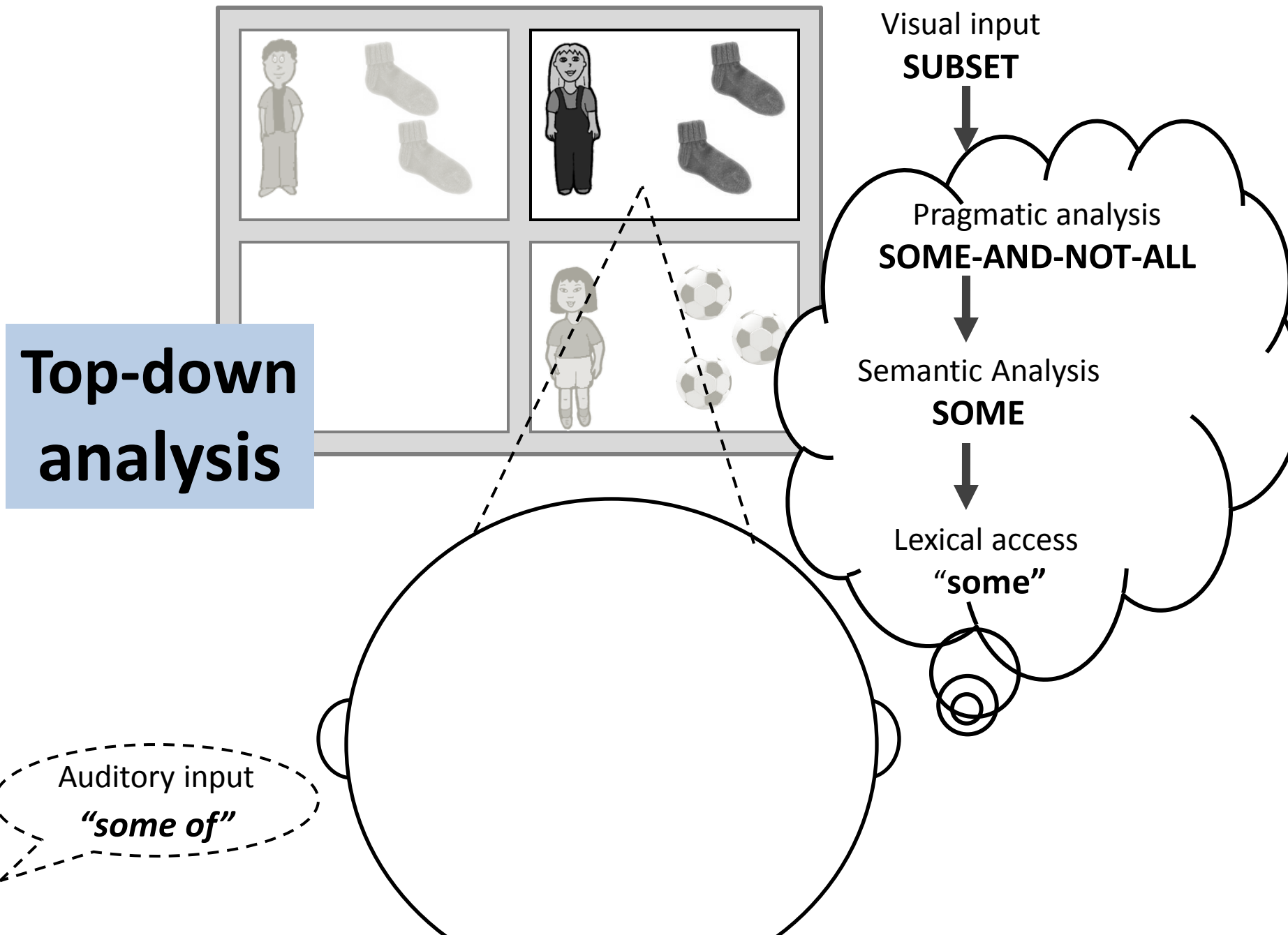
Visual input  
**SUBSET**

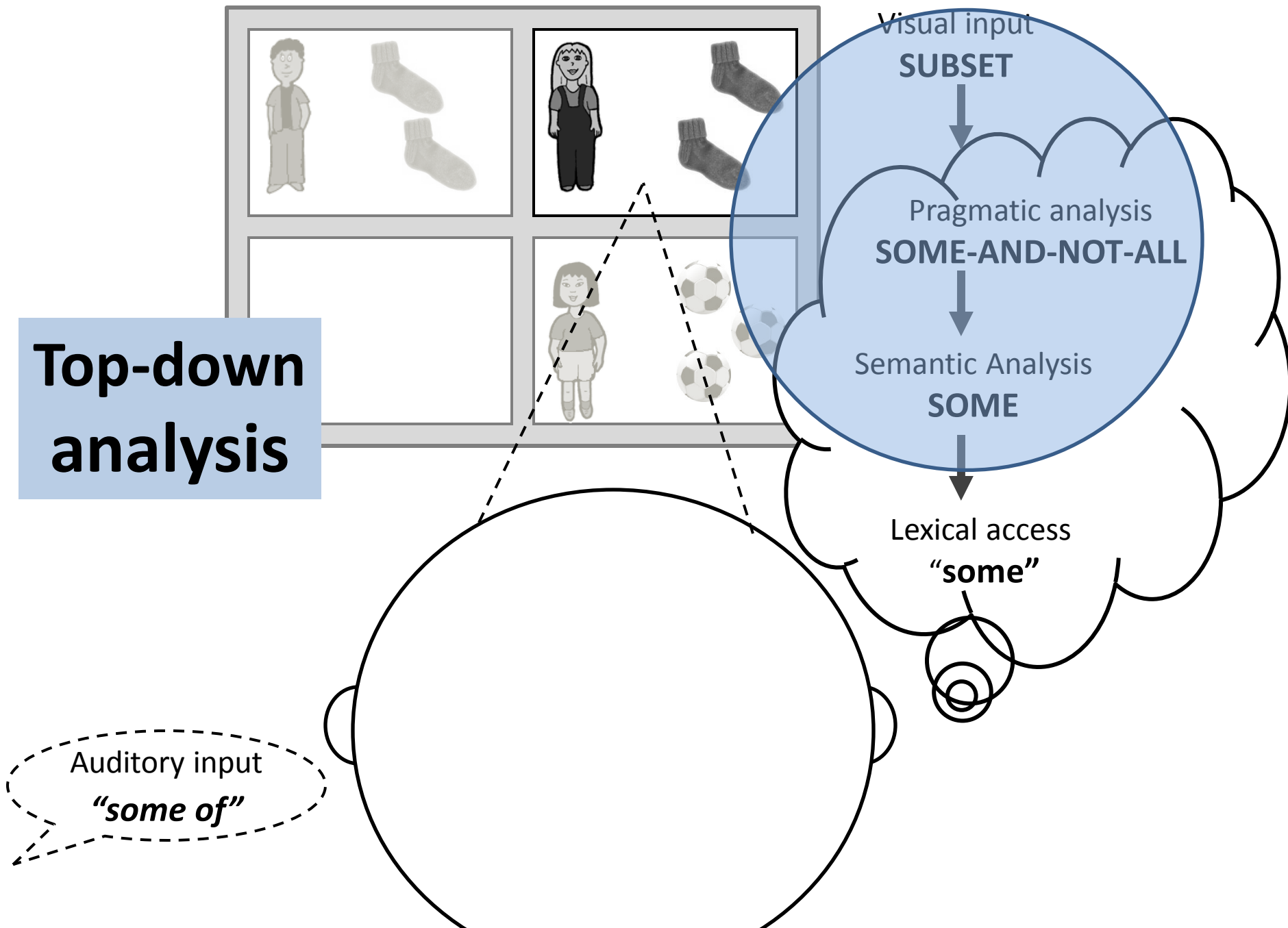
Pragmatic analysis  
**SOME-AND-NOT-ALL**

Semantic Analysis  
**SOME**

Lexical access  
**"some"**







# Predictions

- Bottom-up
  - Scalar upper bound delayed relative to lexically encoded upper and lower bounds
  - Occurs when verbal encoding is difficult
    - Messages more unpredictable to comprehender
    - Multiple construals of given referent
- Top-down
  - Scalar upper bound guide reference resolution as rapidly as lexical bounds
  - Occurs when a verbal encoding is easy
    - Facts already known to listener (visual world)
    - Single salient construal of each referent in task

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  1. Implicature typically takes time and effort
  2. Instant SI's occur only when pre-encoding is plausible
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  4. In disordered populations SI patterns with language ability

## SI's typically require time and effort

### 1. Sentence judgment studies

Bott & Noveck, 2004; Bott, Bailey & Grodner, 2012; Marty & Chemla, 2011

### 2. Dual-task studies

DeNeys & Schaeken, 2007; Dieussaert, Verkerk, Gillard & Schaeken, 2011; Marty & Chemla, 2011; Marty & Chemla, 2011

### 3. Reading studies

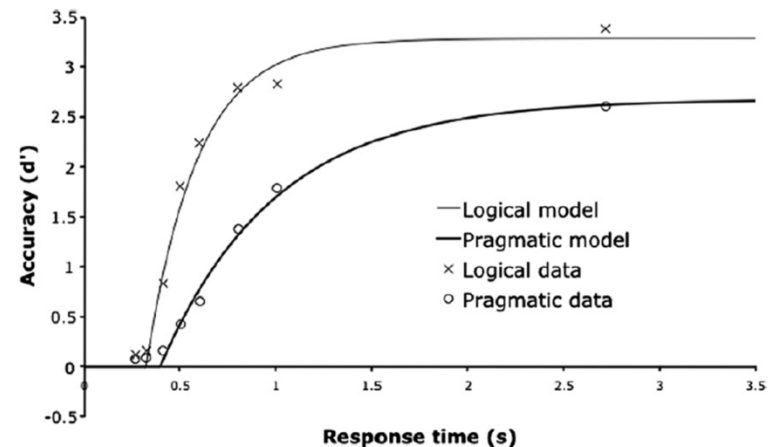
Breheeny, Katsos & Williams, 2006; Bergen & Grodner, 2010; Hartshorne & Snedeker, still under review; Nieuwland, Dittman & Kuperberg, 2010

# Judgment tasks:

## Bott, Bailey & Grodner (2012)

- Speeded verification of underinformative sentences (SAT task)
- Delay for calculating SI
- Not due to speed accuracy tradeoff: shift in starting point and slope
- Not merely verification: pragmatic “some” slower than “only some”

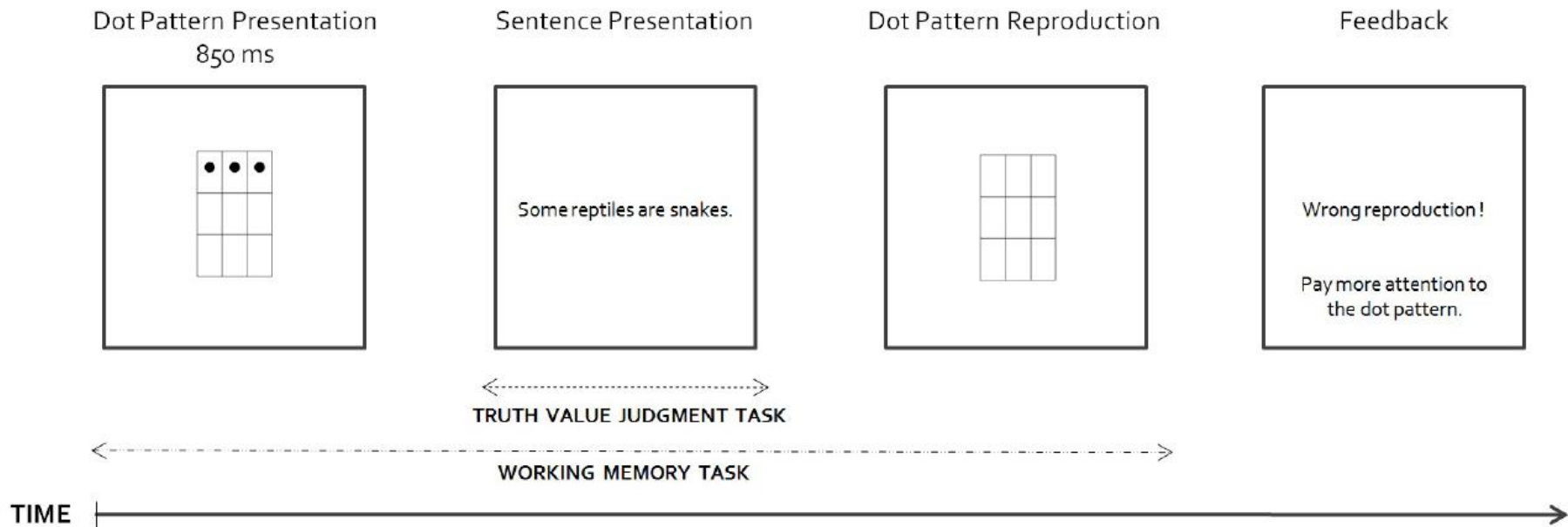
“Some elephants are mammals”





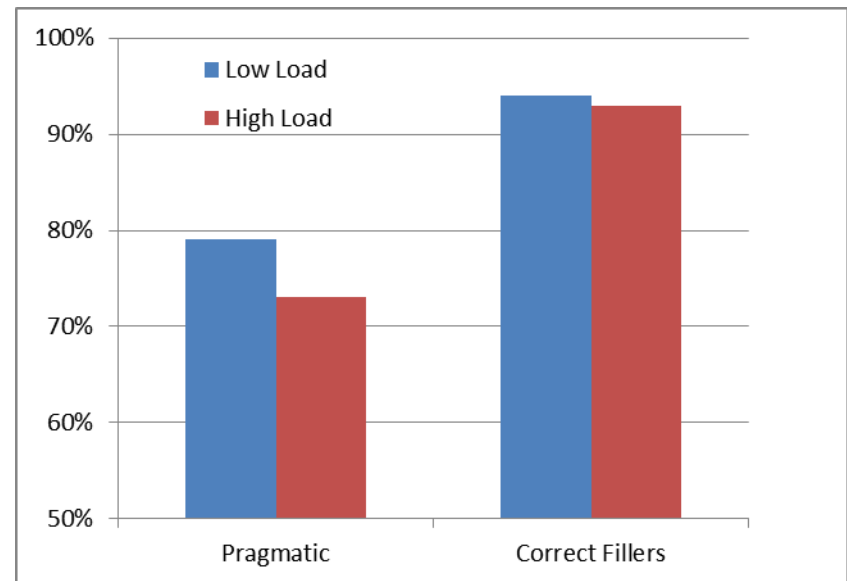
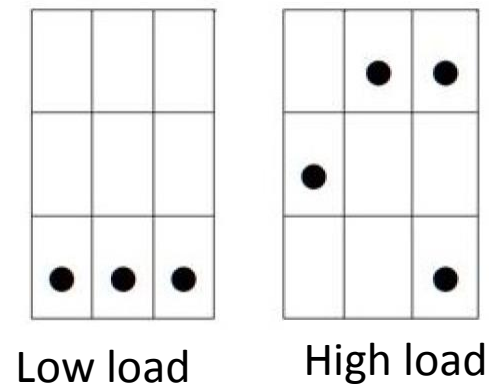
# Dual-task paradigm

- Cognitive load reduces calculation of scalar implicatures (DeNeys & Schaeken, 2007; Dieussaert, Verkerk, Gillard & Schaeken, 2011; Marty & Chemla, 2011; Marty, Chemla & Spector, 2011)



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- Cognitive load reduces calculation of scalar implicatures (DeNeys & Schaeken, 2007; Dieussaert, Verkerk, Gillard & Schaeken, 2011; Marty & Chemla, 2011; Marty, Chemla & Spector, 2011)



Data from: DeNeys & Schaeken (2007)

# Dual-task paradigm

- Cognitive load reduces calculation of scalar implicatures (DeNeys & Schaeken, 2007; Dieussaert, Verkerk, Gillard & Schaeken, 2011; Marty & Chemla, 2011; Marty & Chemla, 2011)
- Load does not reliably interfere with semantic upper bounds (“only some”) (Marty & Chemla, 2011)
- Opposite effect for numbers (Marty, Chemla & Spector, 2011)

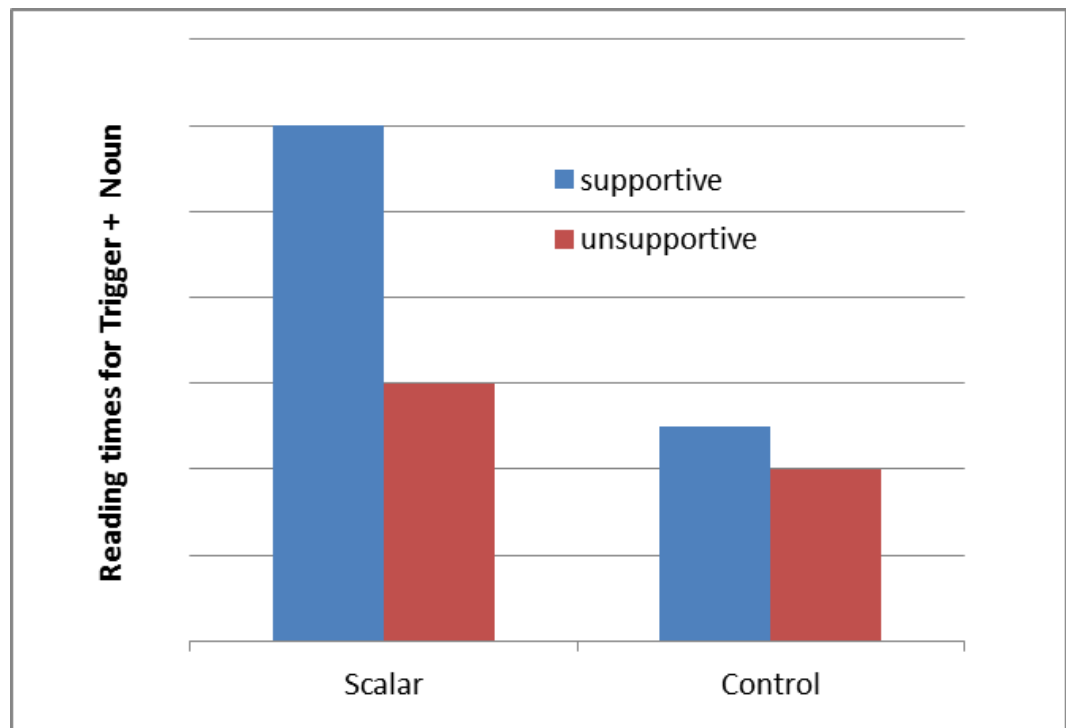
# Reading time experiments

Recipe (from Breheny et al., 2006, illustrated with Bergen & Groder, 2012)

- Contexts
  - Supportive: “Before the hurricane landed, I checked every house in town.”
  - Non-supportive: “Before the hurricane landed, I volunteered to help out in town.”
- Trigger
  - Scalar: “Some of the residents had evacuated”
  - Control: “Only some of the residents had evacuated”
- Anaphor (probes upper bound)
  - “The rest stayed home and foolishly risked their lives”

# Reading time experiments

- Slow down at trigger for Scalar in supportive contexts\*



Data from Bergen & Grodner

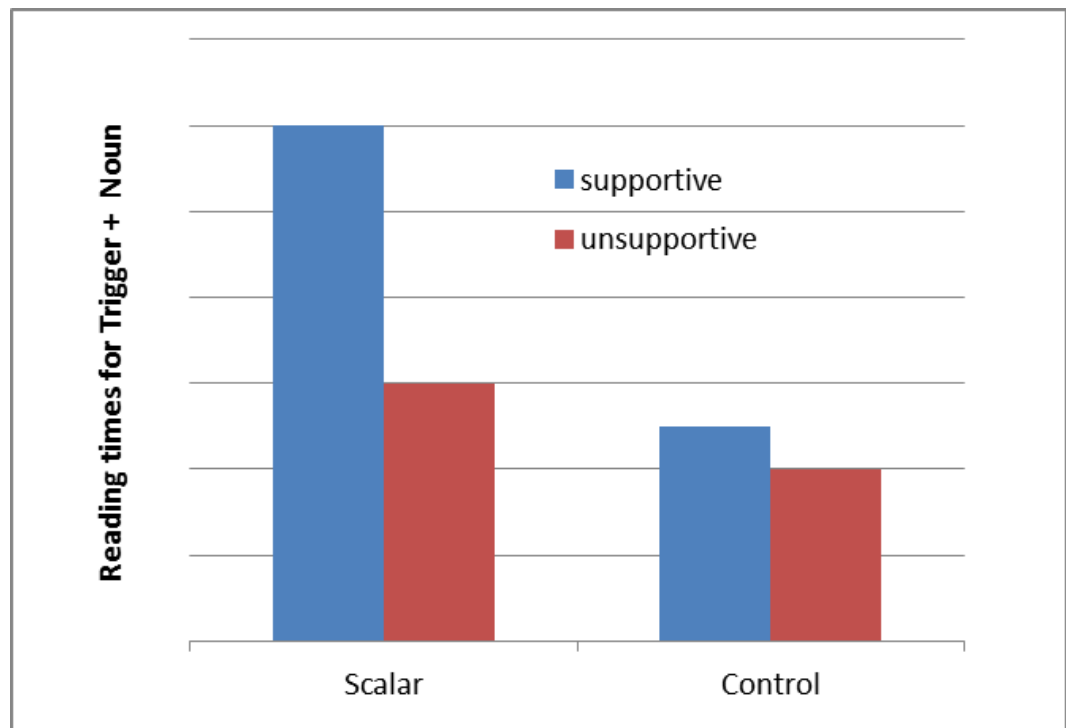
\* But see Hartshorne & Snedeker for caveats

# Reading time experiments

- Slow down at trigger for Scalar in supportive contexts\*

## Interpretation:

- SI takes effort
- Effort begins immediately
- But only when context calls it up

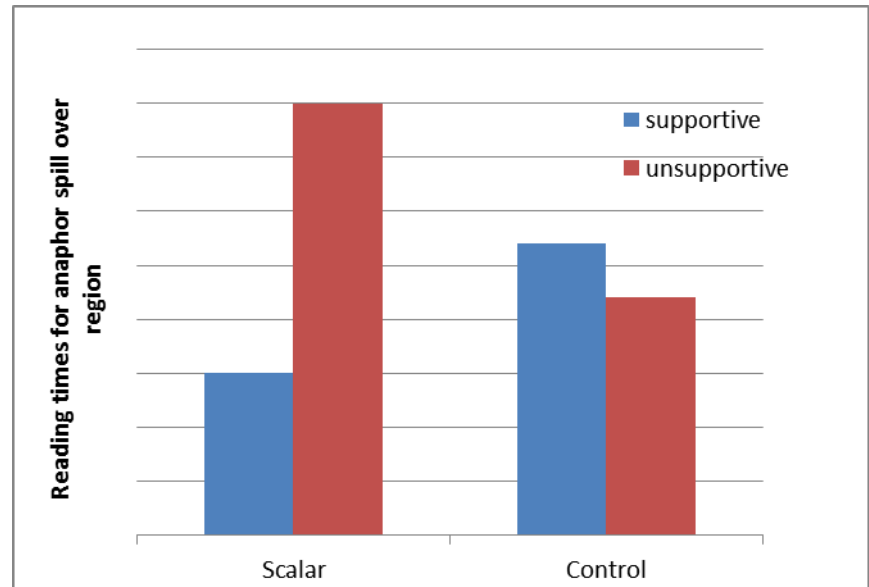


Data from Bergen & Grodner

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# Reading time experiments

- Slow down after anaphor for scalars in unsupportive contexts



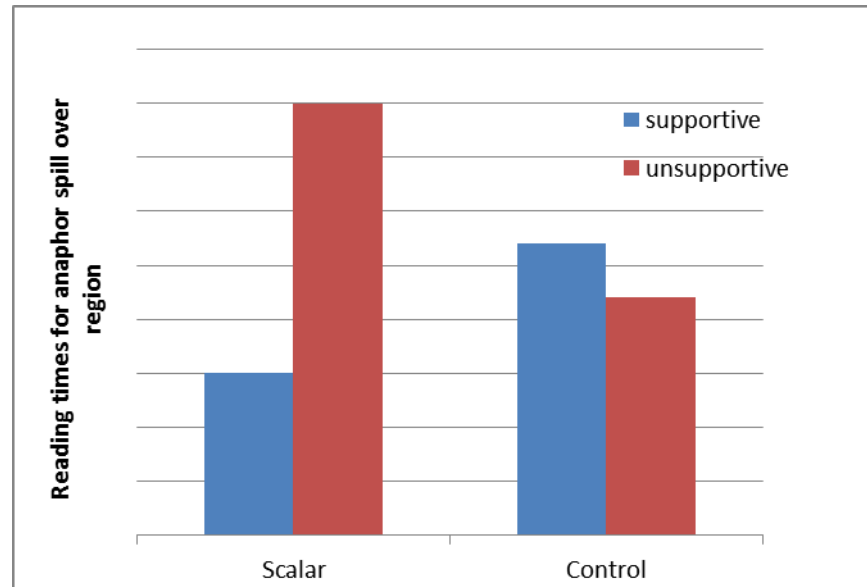
Data from Bergen & Grodner

# Reading time experiments

- Slow down after anaphor for scalars in unsupportive contexts

## Interpretation:

- Upper bound calculated in supportive contexts and controls
- Not in unsupportive contexts



Data from Bergen & Grodner



# Reading time experiments

- How fast is that upper bound calculated?
- Mean time from trigger to anaphor effect
  - Bergen & Grodner: ~2,400 ms
  - Breheny et al: ~2000 ms
  - Nieuwland et al: ~1700 ms

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- How fast is that upper bound calculated?
- Mean time from trigger to anaphor effect
  - Bergen & Grodner: ~2,400 ms
  - Breheny et al: ~2000 ms
  - Nieuwland et al: ~1700 ms
- Hartshorne & Snedeker manipulate distance
  - No anaphor effect at 1500 ms
  - Robust anaphor effect at 3000 ms
  - Adding upper bound takes time

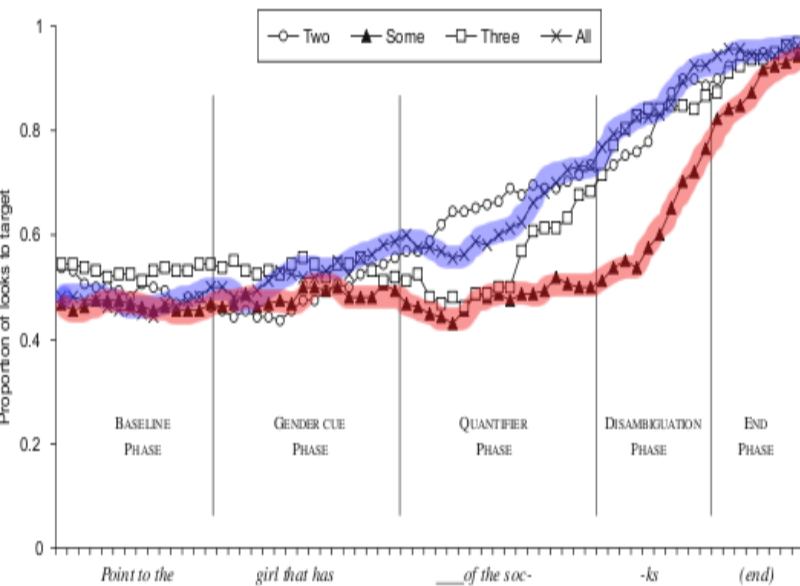


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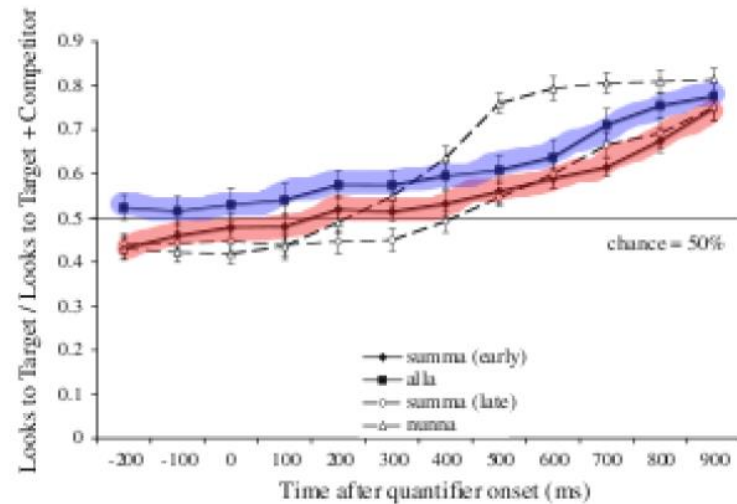
# Divergent Findings in Visual World Paradigm

## Delayed Upper Bound for “Some”



Huang & Snedeker (2009)

## Instant Upper Bound for “Some”

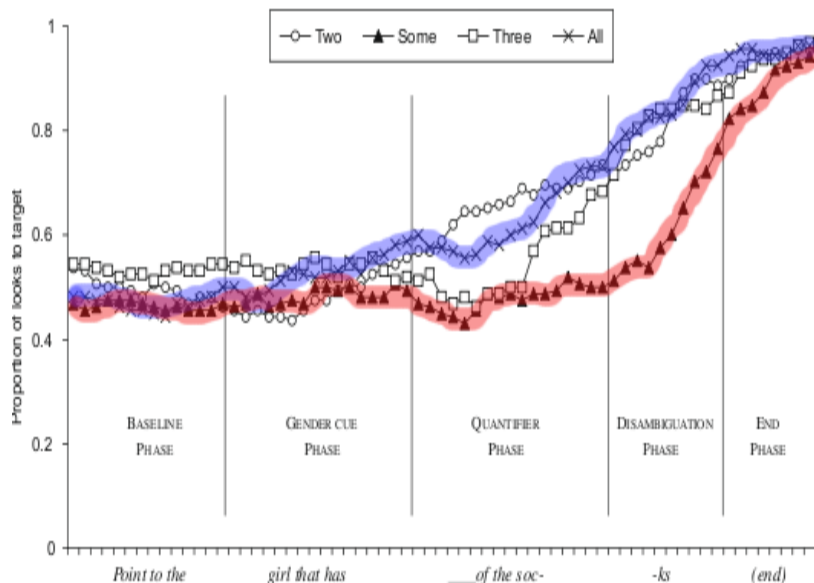


Grodner et al. (2010)

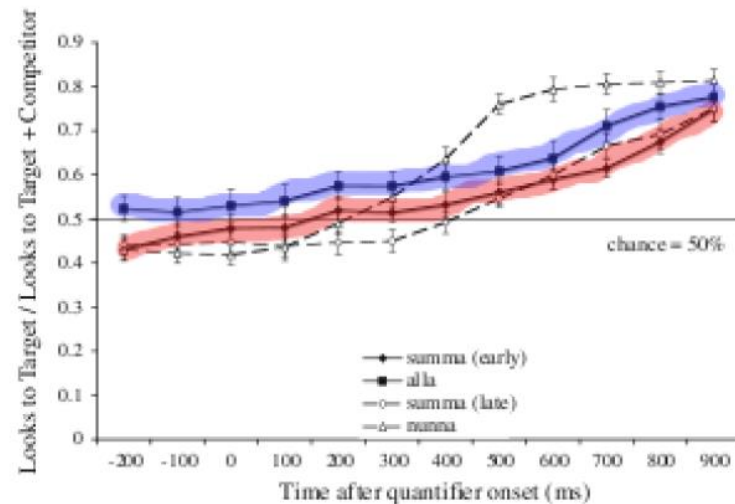
# Methodological differences

- Pronunciation “summa” vs. some of
- Embedded in stories vs. not
- Length of experiment
- **Number trials** (Huang, Hahn & Snedeker; Degen & Tanenhaus)

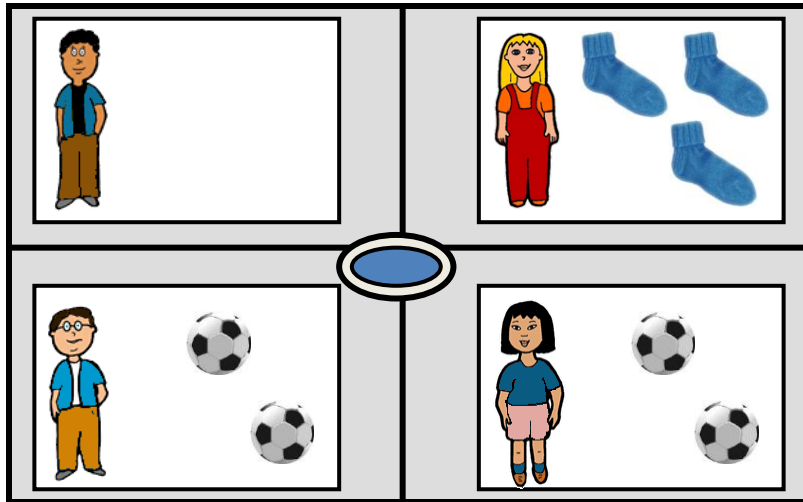
Huang & Snedeker (2009)



Grodner et al. (2010)



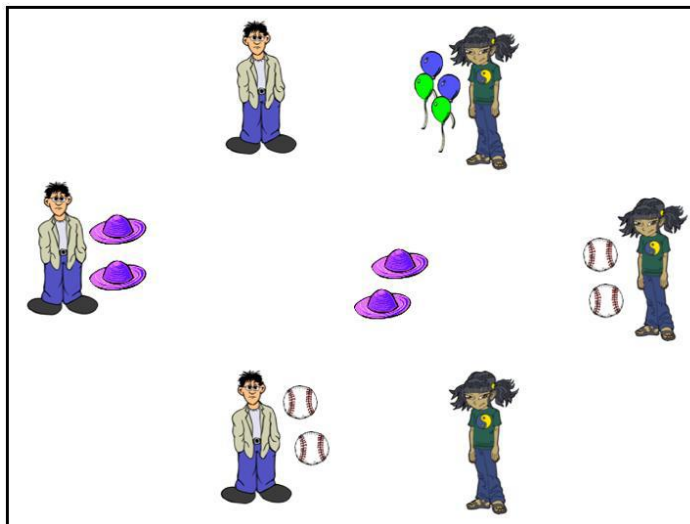
# Comparison of studies



Dual Encoding:

The girl with some of the soccer balls  
The girl with two of the soccer balls.

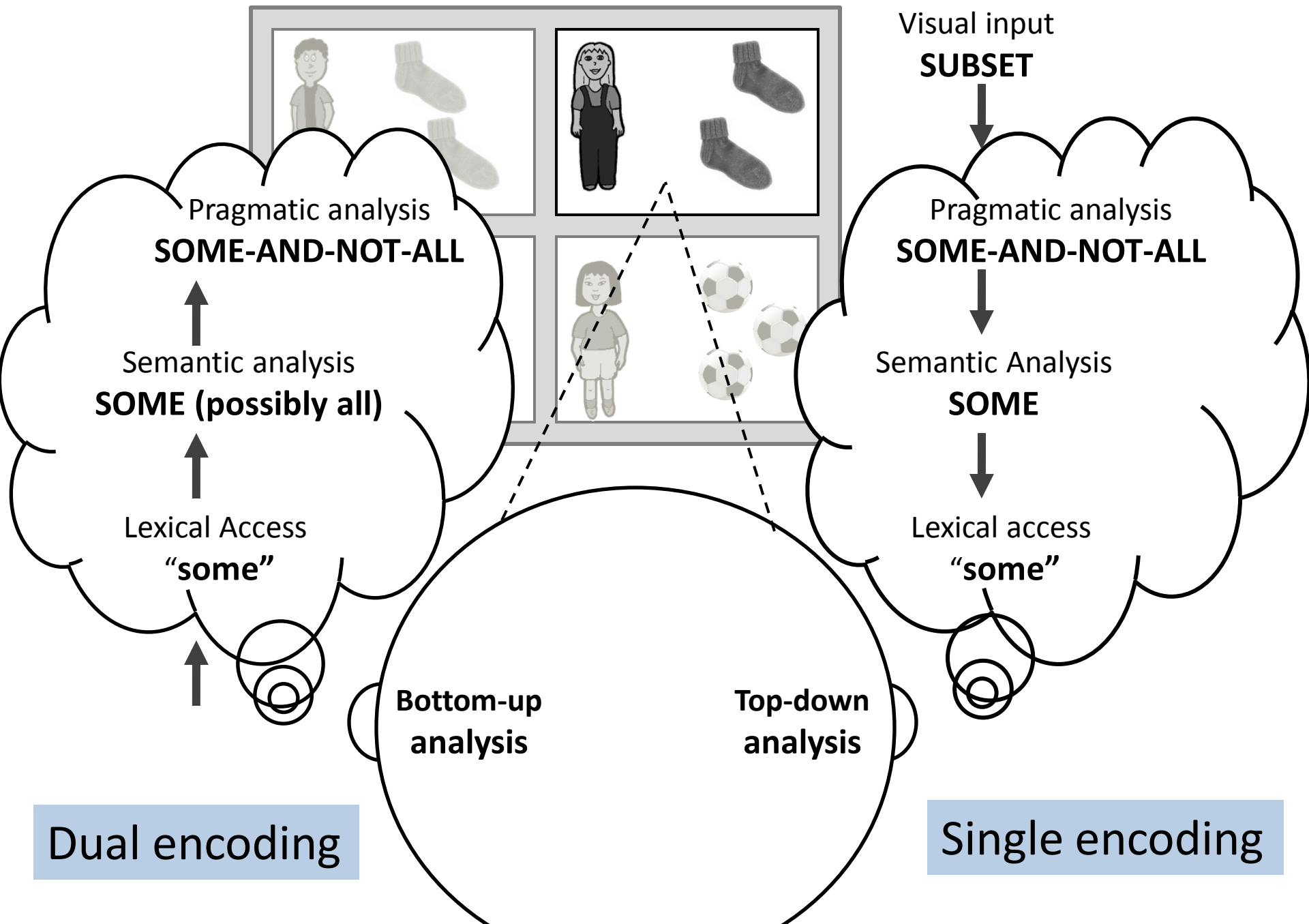
SI delayed



Single Encoding:

The girl with some of the balls

Immediate SI



Dual encoding

Single encoding

# Robust generalization across experiments

Red: slow SI, fast semantic; Green: both fast

## Dual Encoding

- H&S, 2009
- H&S, 2011
- Panizza, Huang, Chierchia & Snedeker (2009)
- Huang, Hahn & Snedeker
- Degen & Tanenhaus
- Hartshorne et al

## Single Encoding

- Grodner et al., 2010
- Breheny, Ferguson & Katsos, (2012)
- Breheny, Ferguson & Katsos (2013)
- Huang, Hahn & Snedeker
- Degen & Tanenhaus
- Hartshorne et al.
- Huang (*most, start, pc*)



## Alternative proposal

- Including numbers makes “some” less natural
  - By what mechanism does naturalness influence processing?
  - Depending on answer this may be the same account....
  - In Degen & Tanenhaus (eyetracking) naturalness doesn't predict speed of reference resolution
  - Naturalness ratings for sentences embedded in our story task do not support (some = two )
- Bayesian proposal
  - May describe what gets computed
  - But doesn't provide a clear story of how

# Bayes Theorem

Meanings  $M = \{m_0, m_1, m_2, \dots, m_V\}$

Utterances  $U = \{u_{\text{some}}, u_{\text{all}}, u_{\text{none}}, u_{\text{number}}\}$

QUD  $Q = \{\text{qud}_{\text{all?}}, \text{qud}_{\text{any?}}\}$

$$P_{\text{listener}}(M|U, Q) \propto P_{\text{speaker}}(U|M, Q)P(M)$$

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Awesome, how does the listener get that?

Option 1: ask 100 people on AMT?

Option 2: use stored knowledge (of Bill & Judy and the soccer balls?)

Option 3: run a production simulation (our proposal)

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# The primary observation

Children often accept under informative scalar terms in judgment tasks

- Accept “might be” in context of MUST BE (Noveck, 2001)
- Accept “started” for FINISHED (Papafragou & Musolino, 2003)

Possibility 1: Children must acquire a single discrete skill (implicature)

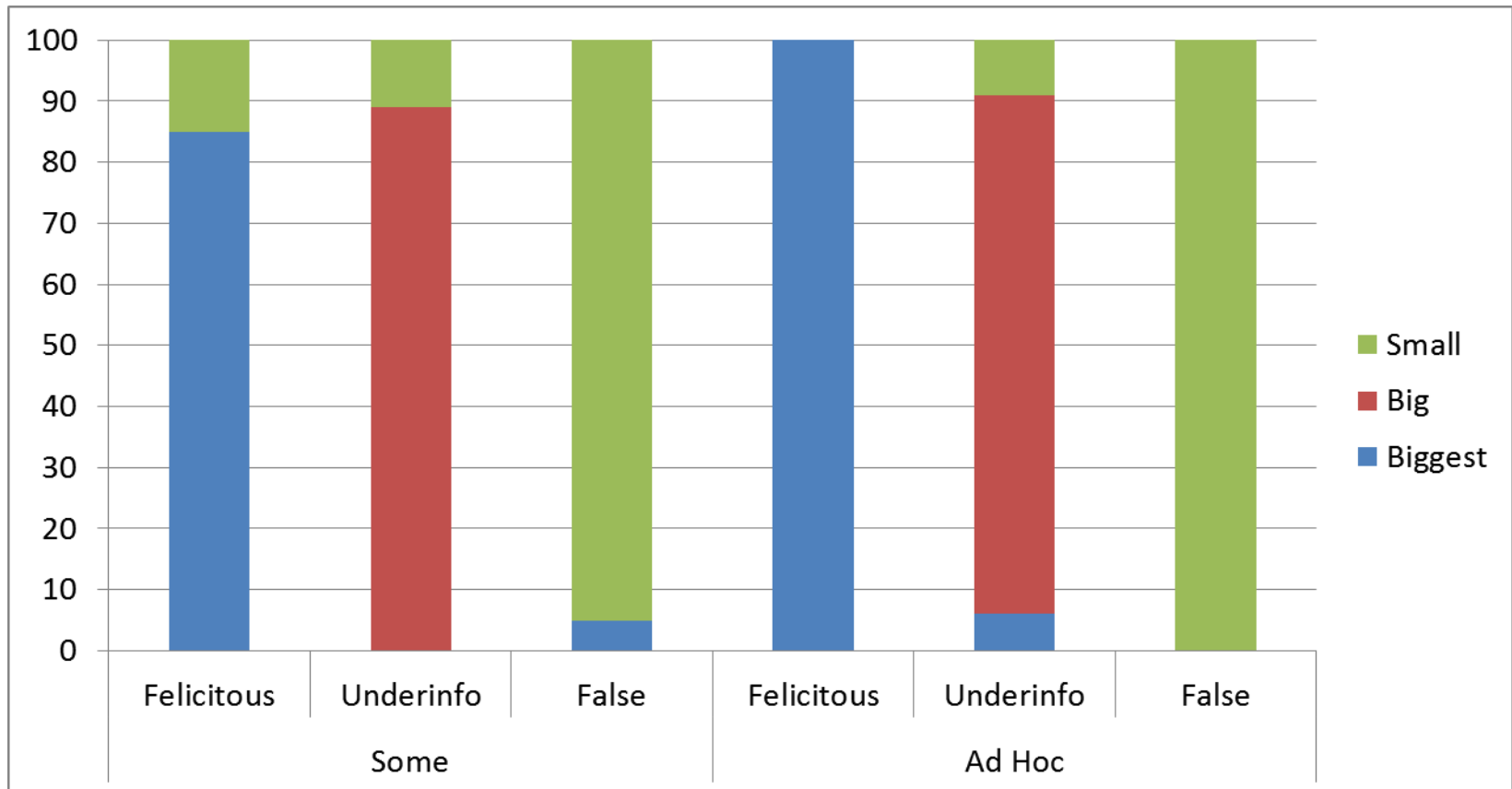
Non-starter: there is too much variation

- Performance heavily task dependent (Papafragou & Tantalou, 2004; Pouscoulous, Noveck, Politzer, Bastide, 2007)
- Instructions matter (Papafragou & Musolino, 2003 i.a.)
- Variation across scalar terms
- Age range success ~3-10

# Possibility 2: Children are simply tolerant

(Katsos & Bishop, 2011)

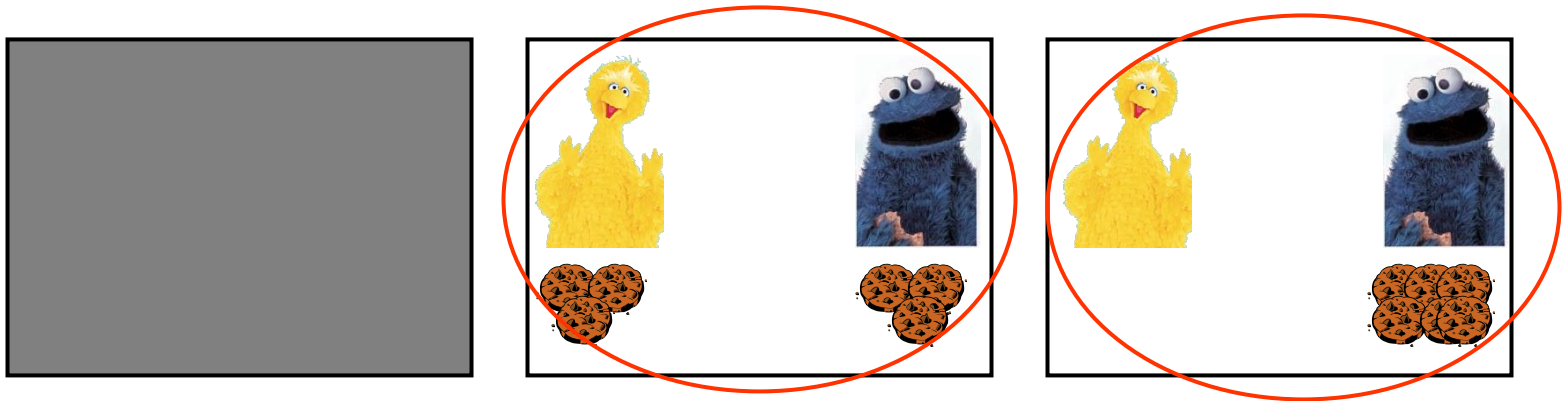
- 5 year olds succeed with 3 point scale



# Tolerance can't explain it all

- Younger children fail at selection tasks
  - Huang, Spelke, & Snedeker 2013 (2;6-4:0)
  - *“Can you give me the box where Cookie Monster has some of the cookies?”*

kids pick either one





# Tolerance can't explain it all

- **Generic bias** (Leslie & Gelman, 2012)
  - Adults and children misremember universal statements as generics (all dogs → dogs)
  - 3 yr olds *also* misremember “some” statements as generics (some dogs → dogs)
  - Suggests they aren't generating implicature
- **Processing failure** (Huang & Snedeker, 2009, Dev Psych)
  - Adults slower to interpret underinformative *some* than felicitous *some*
  - Children are not!

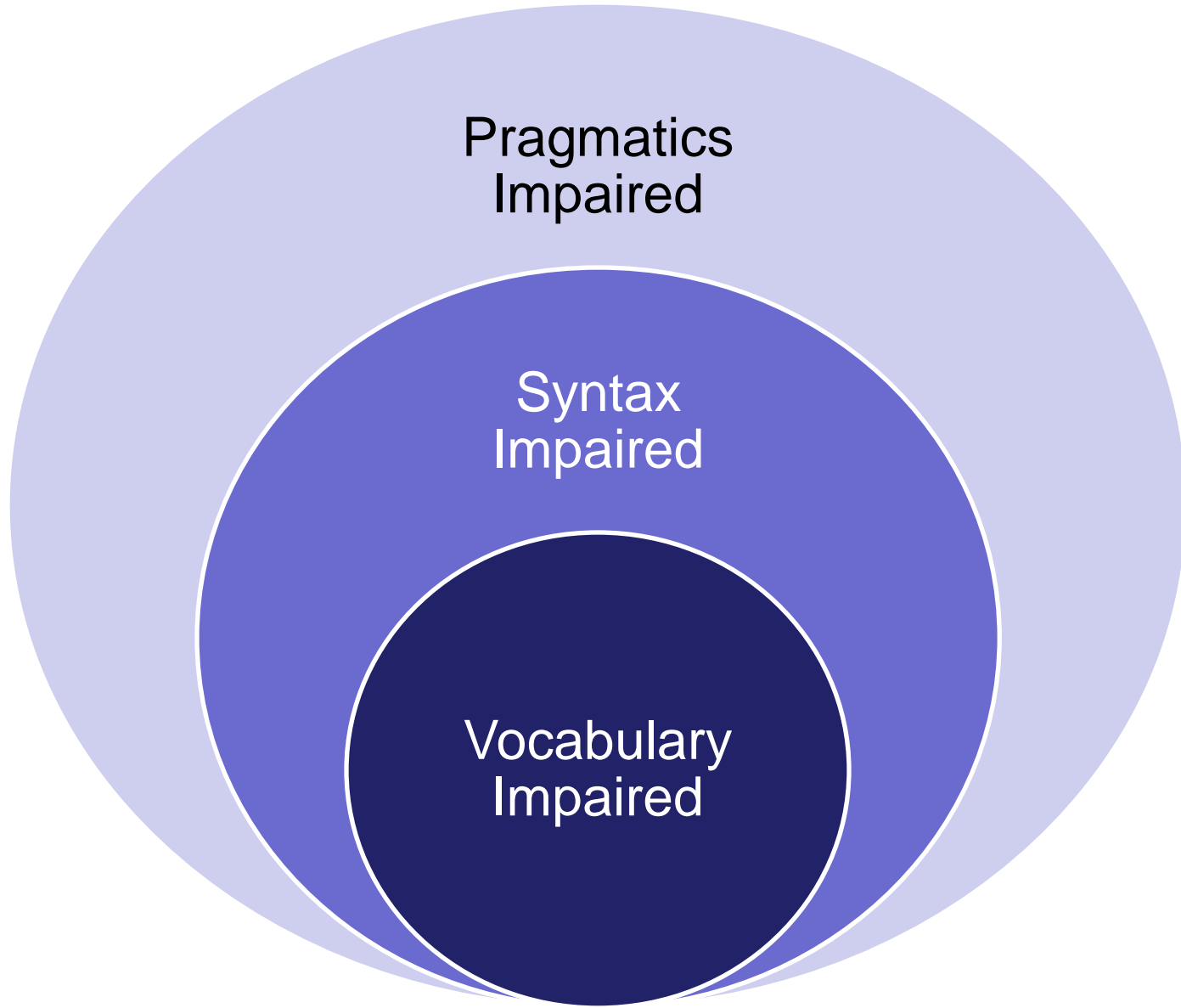
# Possibility 3: processing account

- Computing SI without pre-encoding is effortful
  - See above
  - Children fail to pre-encode in contexts where adults do (Huang, data)
- Children have difficulty retrieving scales (Barner, Brooks & Bale, 2012)
- Children have difficulty using top-down cues (Snedeker, 2013)
  - SI may involve generating higher-level information to enrich interpretation
  - Such loops unfold over time (see Dell, 1986)
  - Slower processing = fewer time steps....
- As they become faster more efficient processors, they may be able to calculate SI's more often

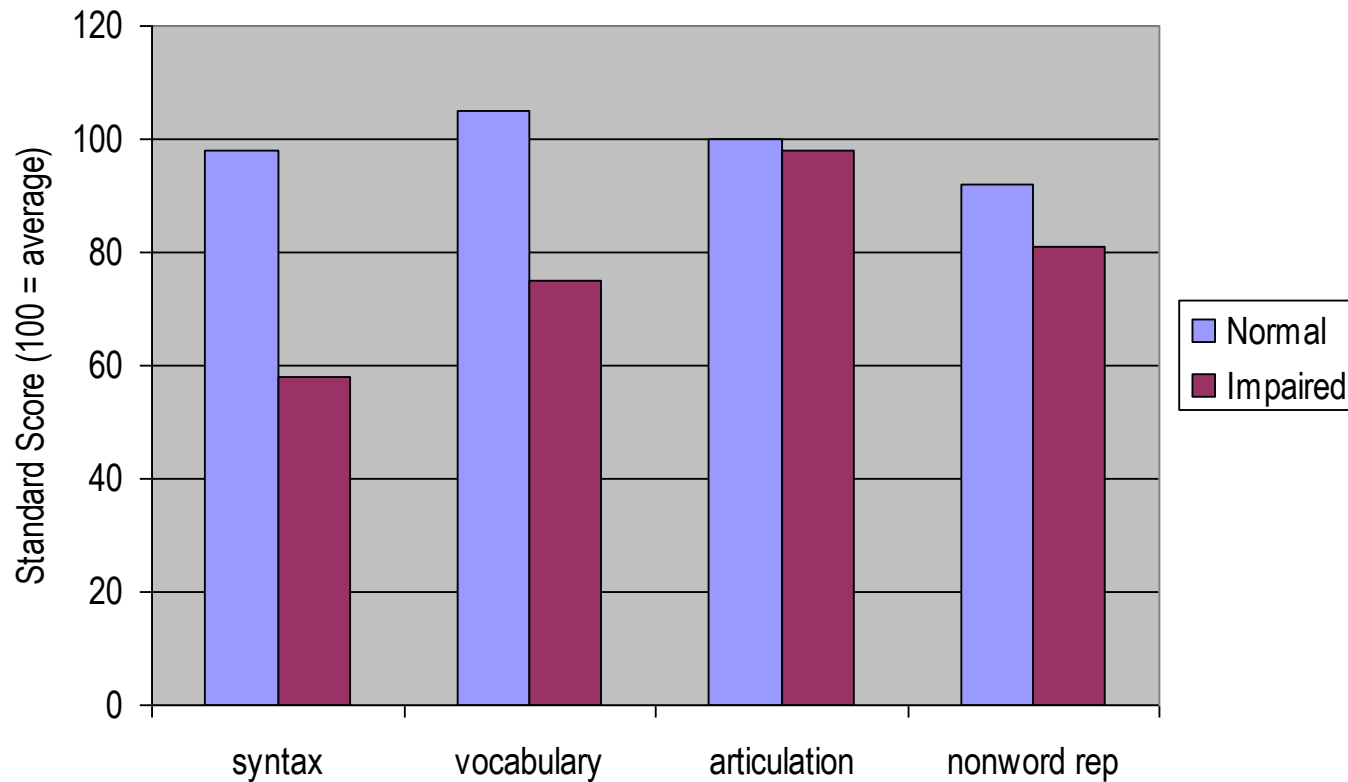
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# Communicative deficits in autism



# Autism with, and without, language impairment



Kjelgaard & Tager-Flusberg (2001)

# Autism and scalar implicature

- Adults and teens with autism make SI's as often as language-matched controls (Pijnaker et al., 2008; Chevallier et al., 2010).
- Early deficit could disappear by 13
  - Deficits in Theory of Mind task only present until verbal mental age of 6-7 (Happe, 1995)
  - SI improves from 4 to 10 years
- Do persons with autism use the same process?

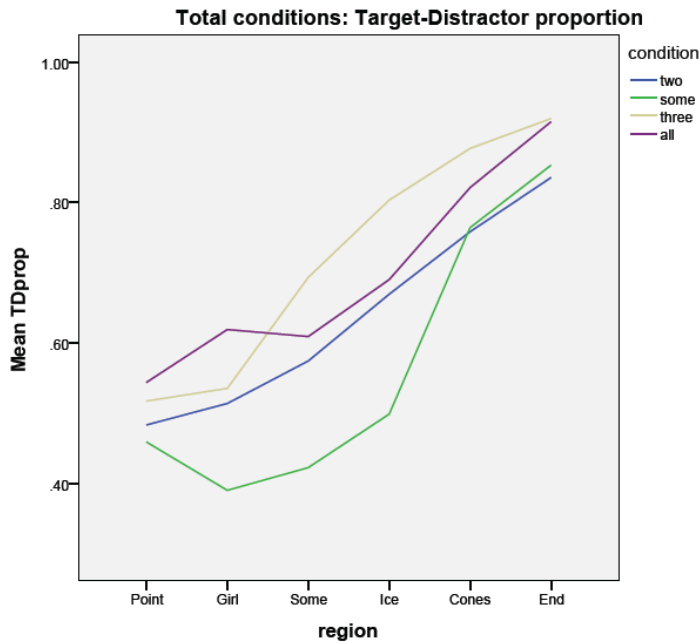
# Our study

(Hahn, Huang & Snedeker, in prep)

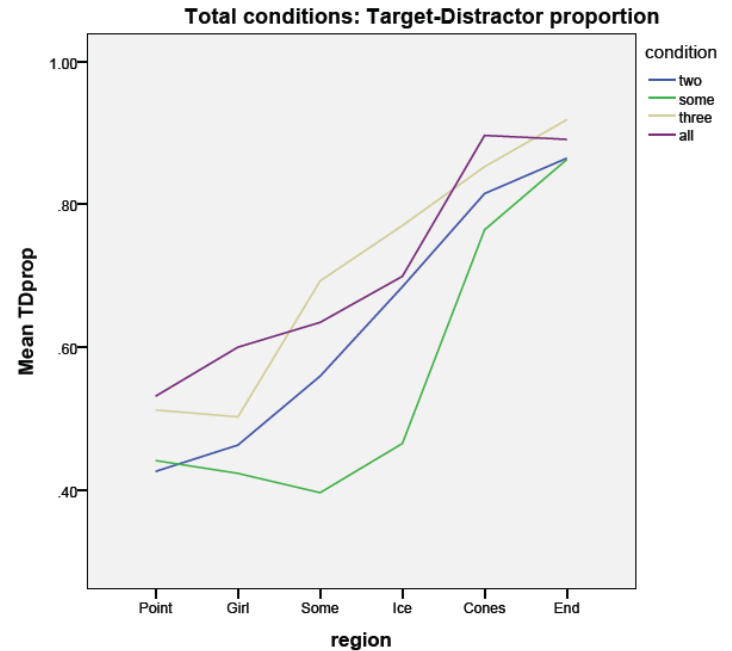
- Goals
  - Assess likelihood of calculating scalar implicature at an age where it is rapidly changing (box task)
  - Determine whether mechanisms of comprehension are similar (visual world task)
- 6-9 year olds children
  - 40 with High Functioning Autism
  - 40 Typically Developing
  - Matched on: age, gender, CELF syntax scores



# Same online processing profile



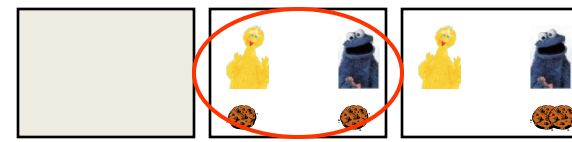
Typically Developing



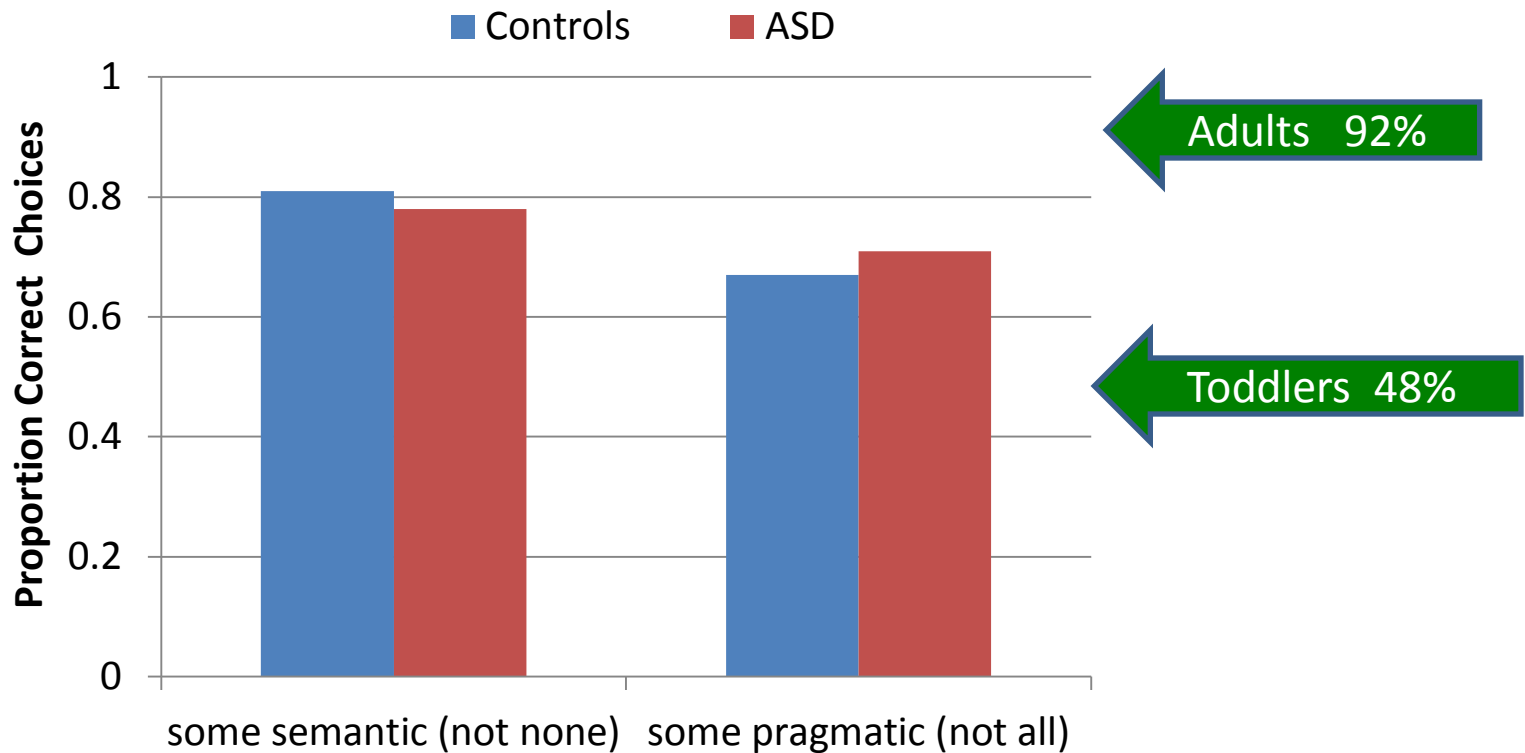
Highly Verbal ASD

Some -----



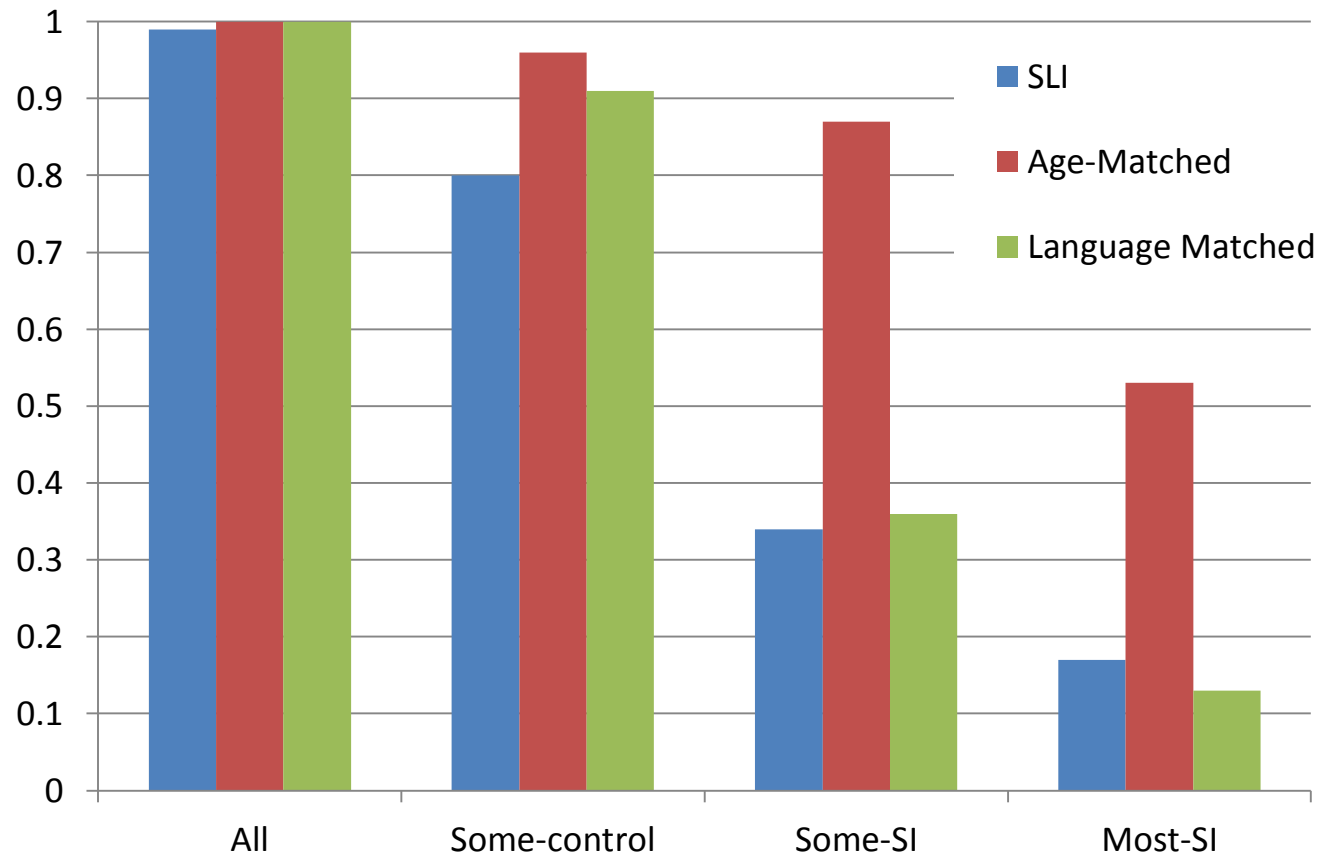


During the period where SI is developing children with ASD perform as well as controls



# SI is linked to emerging language skills

Katsos, Roqueta, Clemente & Cummins (2011)



# The only evidence that SI is linked to ASD....

- Nieuwland, Dittman & Kuperberg (2010)
  - “Some people have lungs/pets”
  - N400 at *pets*
  - Correlates with AQ communication scale (not social scale)
- My suspicion:
  - In college students, communication scale may capture differences in language skills

# In sum

1. Implicature takes some work (bottom up)
2. But the work can be done ahead of time
  - When the conceptual encoding for each message is unambiguous
  - Listener as speaker
3. Thus SI proficiency develops gradually as children become more effective processors
4. Thus SI breaks down with language skills
  - Consistent with a distinction btw grammatical/social inferences or explicatures/implicatures?

# Thank you!

- National Science Foundation & Simons Foundation
- Collaborators: Yi Ting Huang x 10, Gennaro Chierchia, Daniele Panizza, Joshua Hartshorne, Manizeh Khan & Noemi Hahn
- Assistance from: Amanda Worek, Carlyn Friedberg, Carissa Shafto, and dozens of interns

